

#### INTERPLANETARY RADIATION ENVIRONMENT

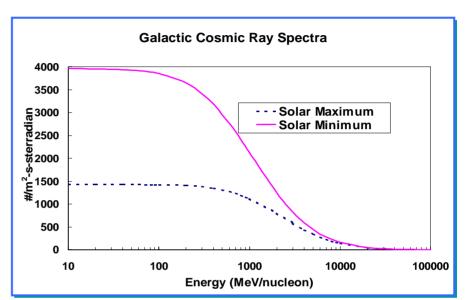
## Main Components: (Atomic Nuclei)

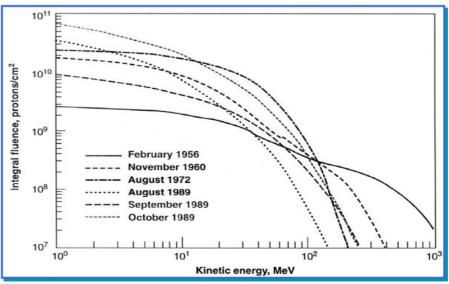
- Galactic Cosmic Rays (GCRs)
  - Median energy ~1800 MeV/nuc
  - Continuous flux, varies with the solar cycle

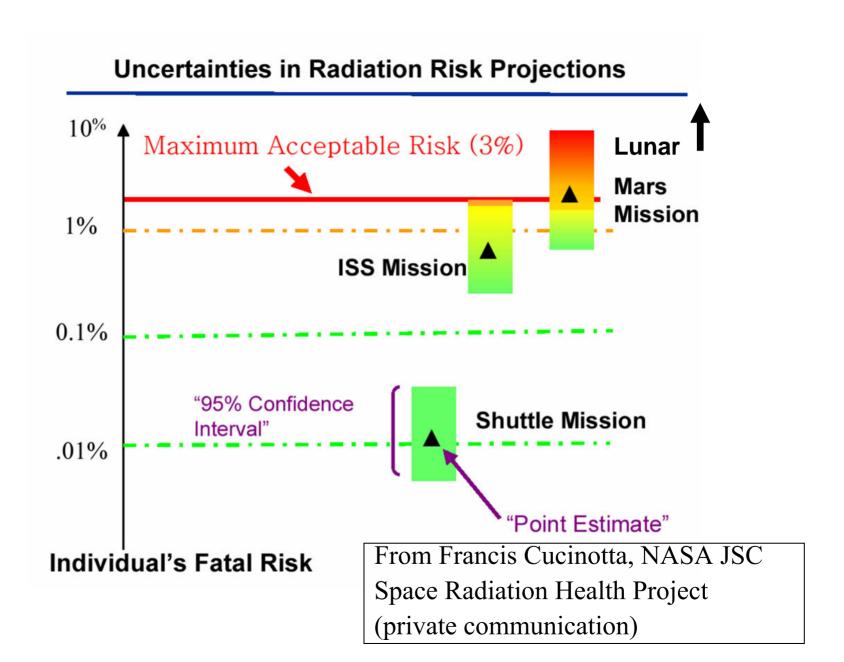


- Sporadic, lasting hours to days
- Soft spectra with highly variable composition

ni~ne~ 6 cm-3







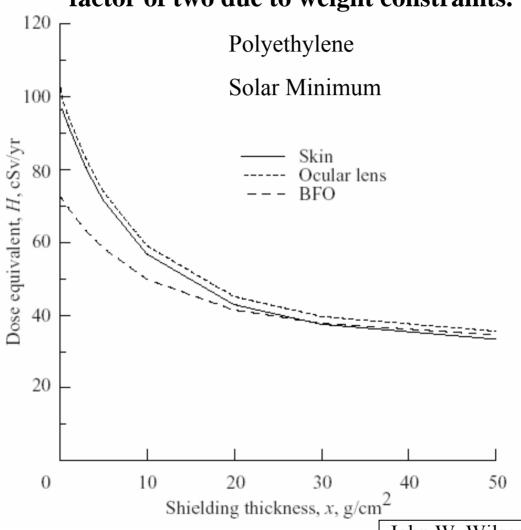
## Shielding Solutions must

- Reduce radiation exposure
- Be lightweight
- Safe
- Practical
- Achievable in time for Moon Missions

There are two basic types: Active and Passive Shields

#### **Spacecraft Limitations**

Passive shielding can reduce the exposure by only about a factor of two due to weight constraints.



John W. Wilson et al.

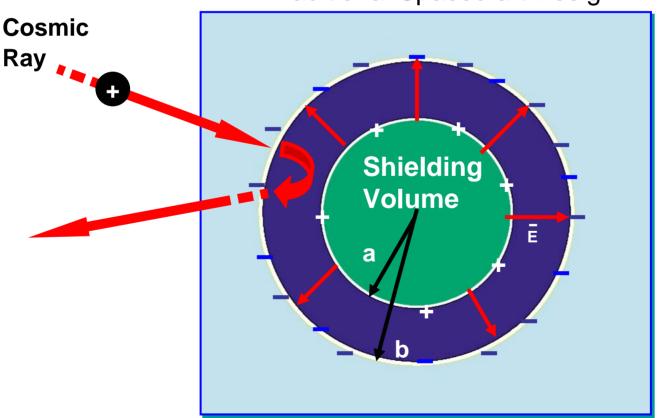
NASA Technical Paper 3682 (1997)

## **Active Shielding Solutions**

#### Electromagnetic Shields

- Magnets (>73 papers since 1961)
- Plasma (>18 papers since 1964)
- Electrostatic (>16 papers since 1962)

Traditional Spacecraft Design



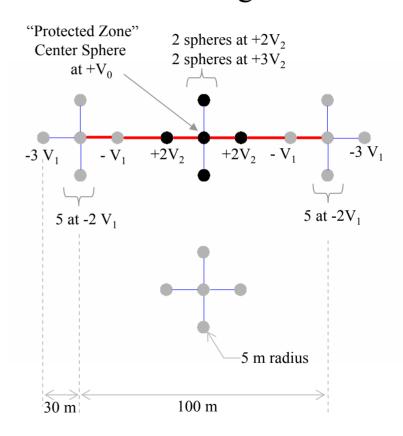
Concentric,
oppositely charged
spherical electrodes
for shielding against
galactic heavy ions.
Electrostatic
generator keeps 'a'
at a high voltage
with respect to 'b'.

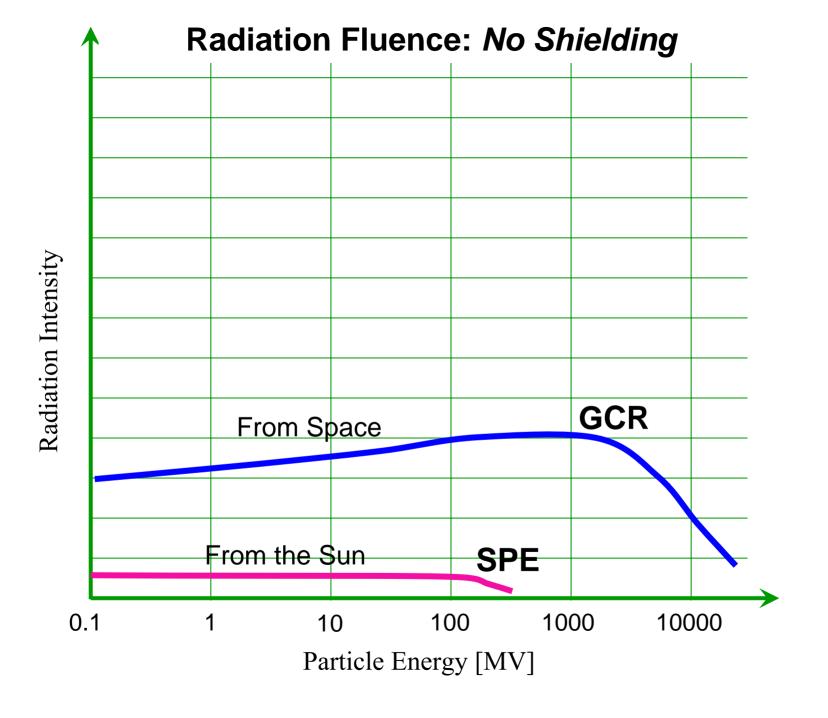
#### Why Not Electrostatics?

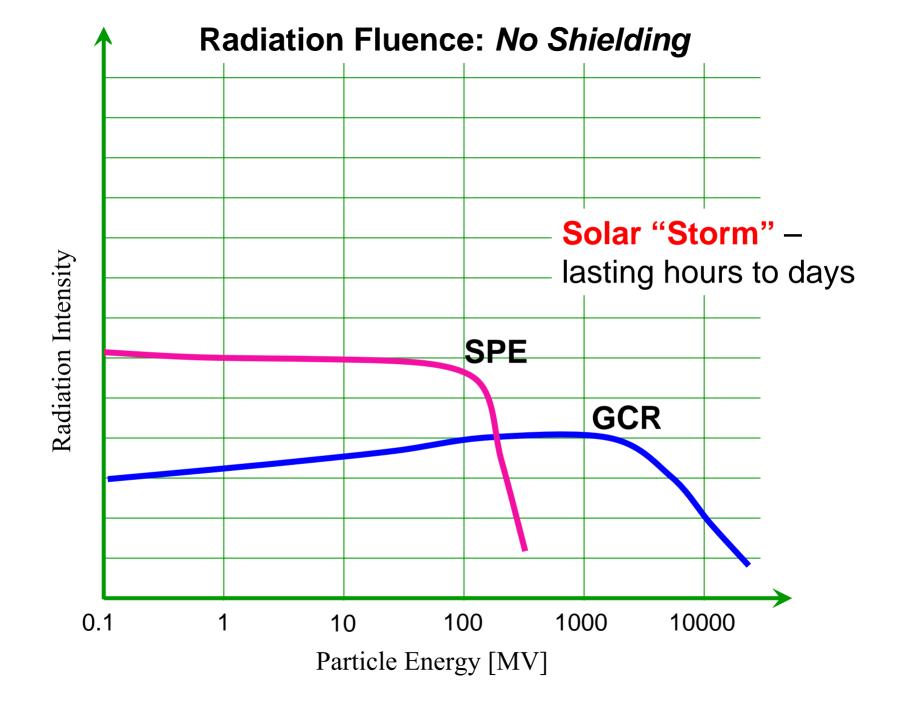
#### Debye Length ~11.5m

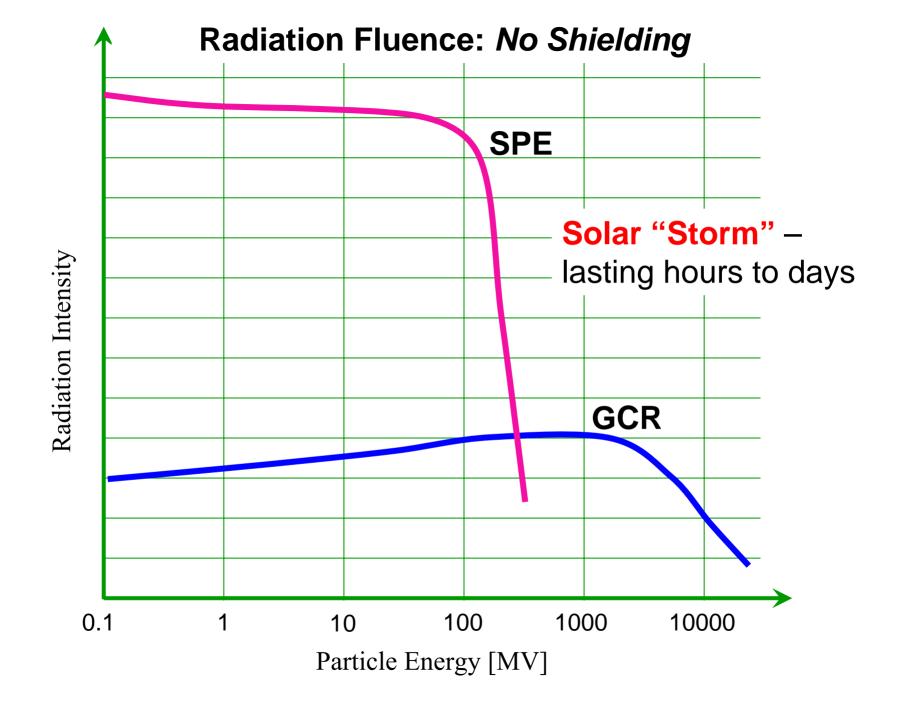
- Assumption: The charge on a conductor is much lower than the charge in the surrounding volume.
- Extreme voltages are required and surrounding a spacecraft or lunar base with a conducting shell is not realistic
  - The same effect can be generated using an alternate geometry.

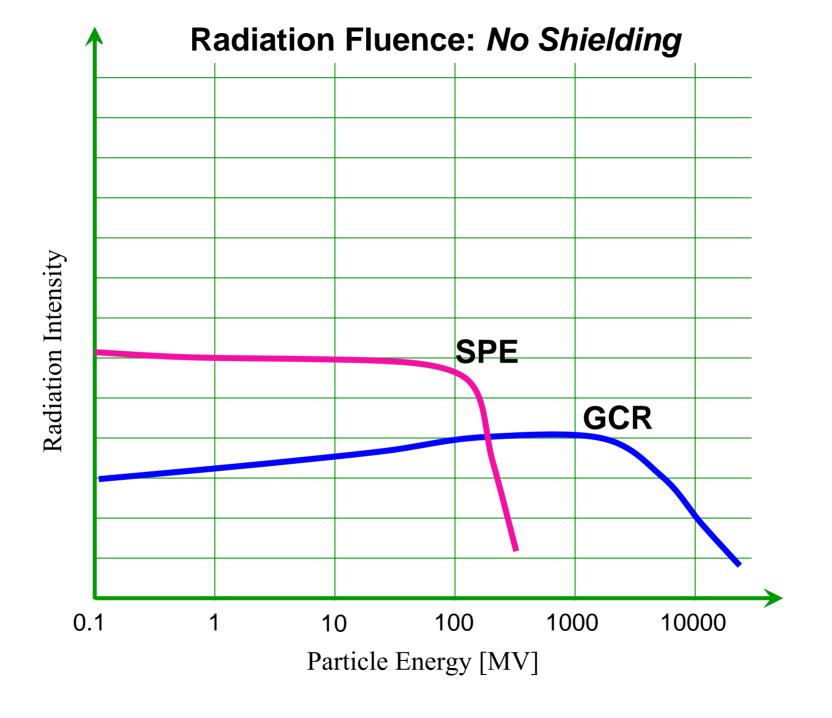
#### NASA Design

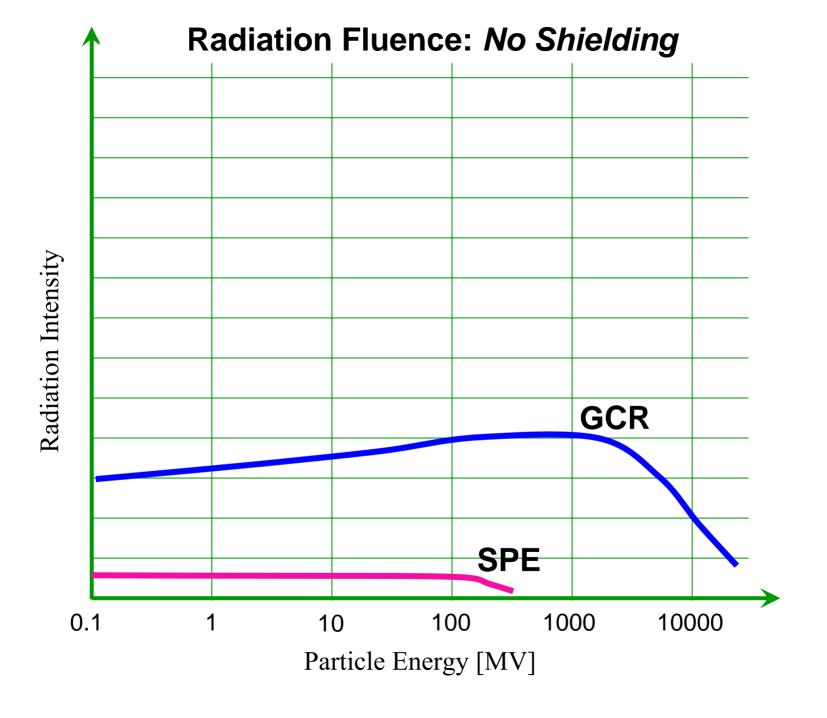




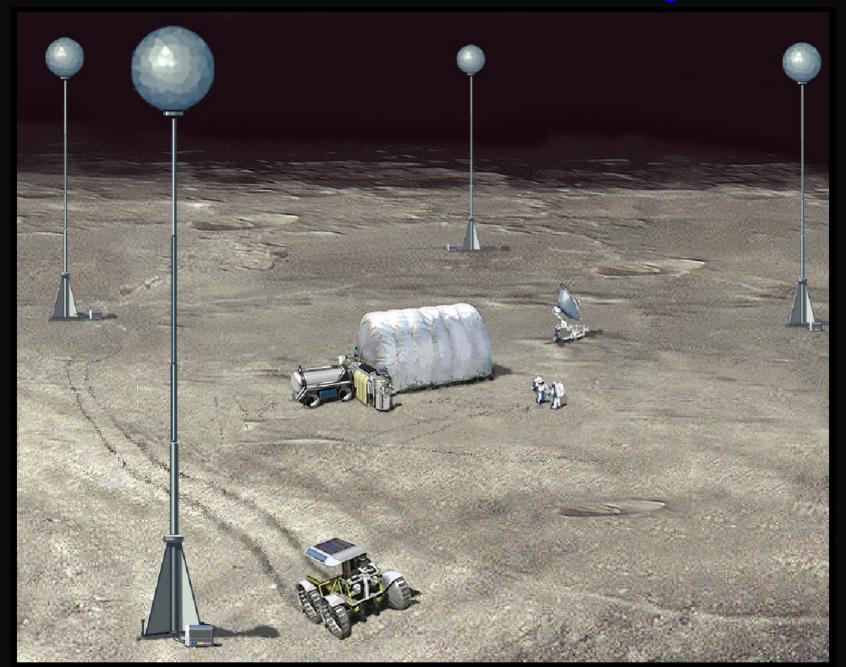




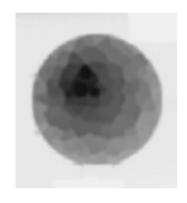




### An Electrostatic Shield Design

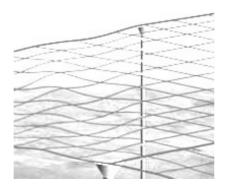


#### Some Possible Electrode Geometries

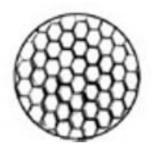


Thin Film Polymer with Conductive Inner Coating





Mesh Screen

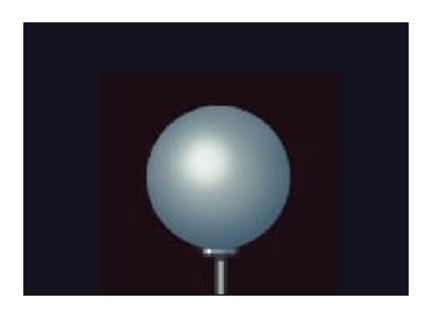


Conductive Wire Mesh Sphere

Arbitrary Geometry



## Electrode Geometry Used in ASRC Lunar Electrostatic Shield Model (LESM)



Thin Film Polymer Balloon with Conductive Inner Coating

## Theory of Design

Lorentz Force

$$\mathbf{F} = q\mathbf{E} + q\mathbf{v} \times \mathbf{B}$$

## Theory of Design

Coulomb Force

$$\mathbf{F} = q\mathbf{E} + q\mathbf{v} \times \mathbf{B}$$

## Theory of Design

Coulomb Force

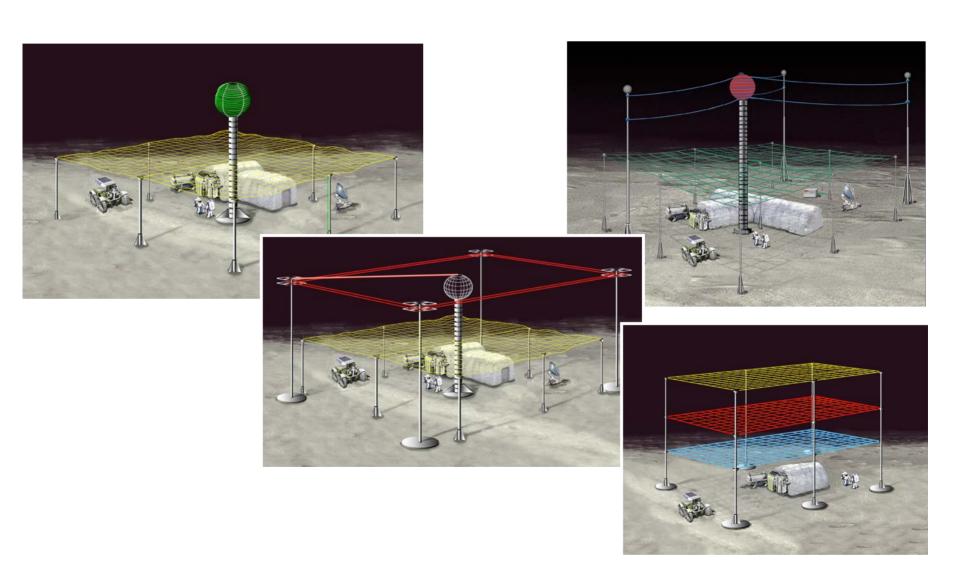
$$\mathbf{F} = q\mathbf{E}$$

Electrostatic Shield: *Use only a time independent* electric field, i.e., **E** = 0

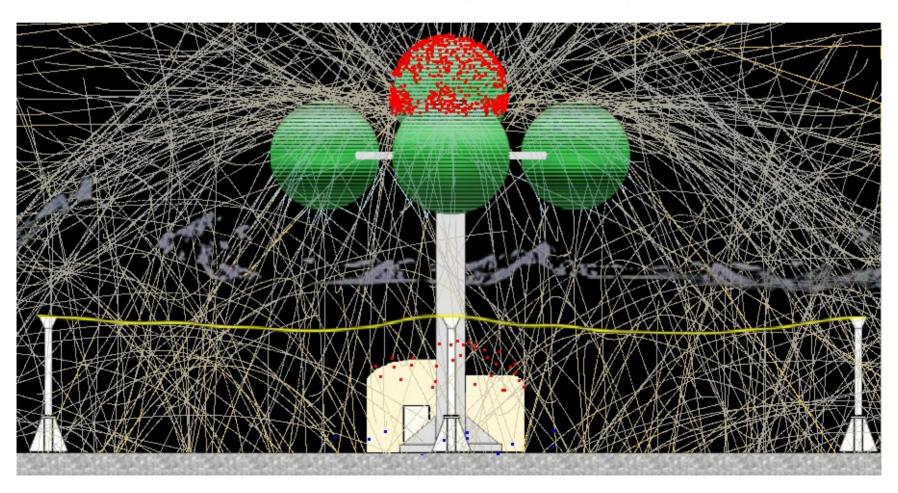
STEP 1: Determine an Ideal Electric Field to Repel Charged Particle Radiation (primarily positive ions and electrons)



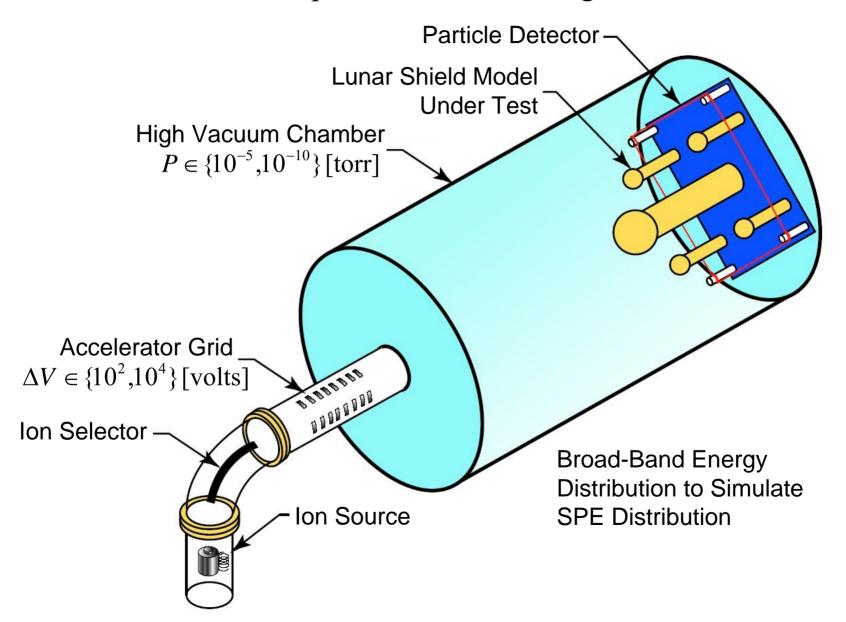
**STEP 2:** Find a Way to Generate an Approximation of the Ideal Field



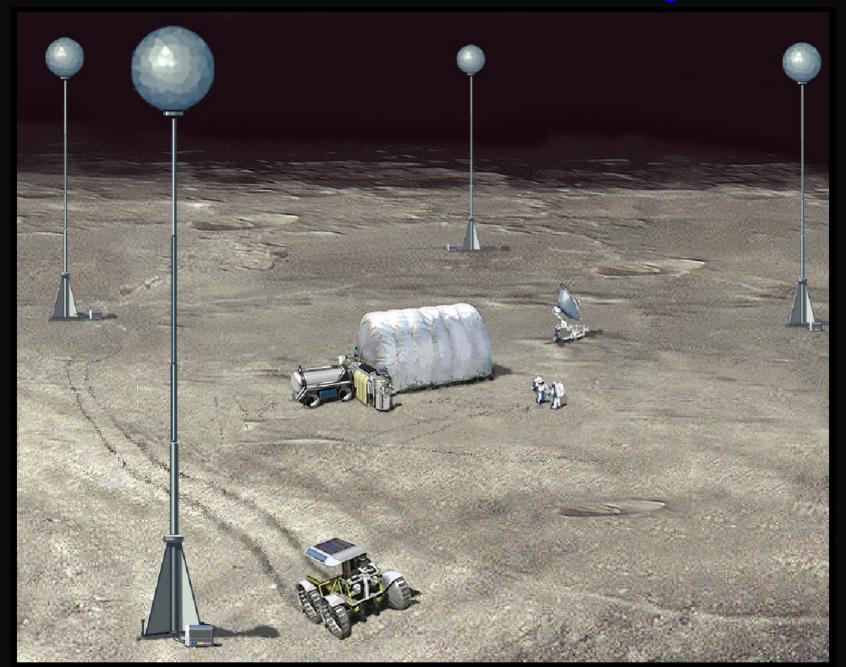
**STEP 3:** Perform Mathematical Modeling and Computer Simulation of Proposed Configurations



**STEP 4:** Perform Experiments and Testing on a Scale Model



### An Electrostatic Shield Design



# Electrostatic Shield Design Constraints Electrical

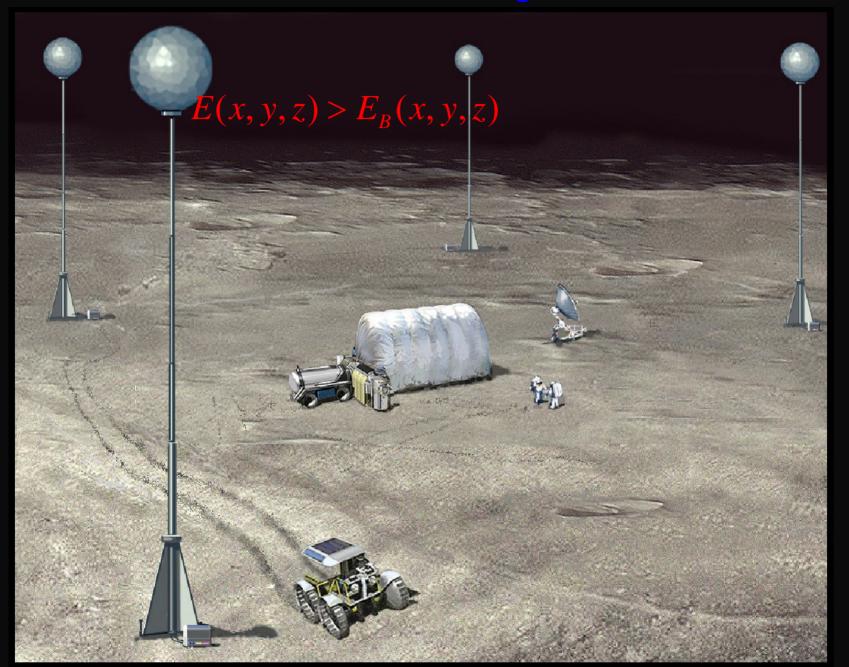
#### Non-Electrodes

• Electric Field Strength everywhere must remain well below a breakdown threshold value:  $E(x, y, z) < E_B(x, y, z)$  In the case of non-conductors,  $E_B(x, y, z)$  is related to the Dielectric Strength of the materials subjected to E(x, y, z).

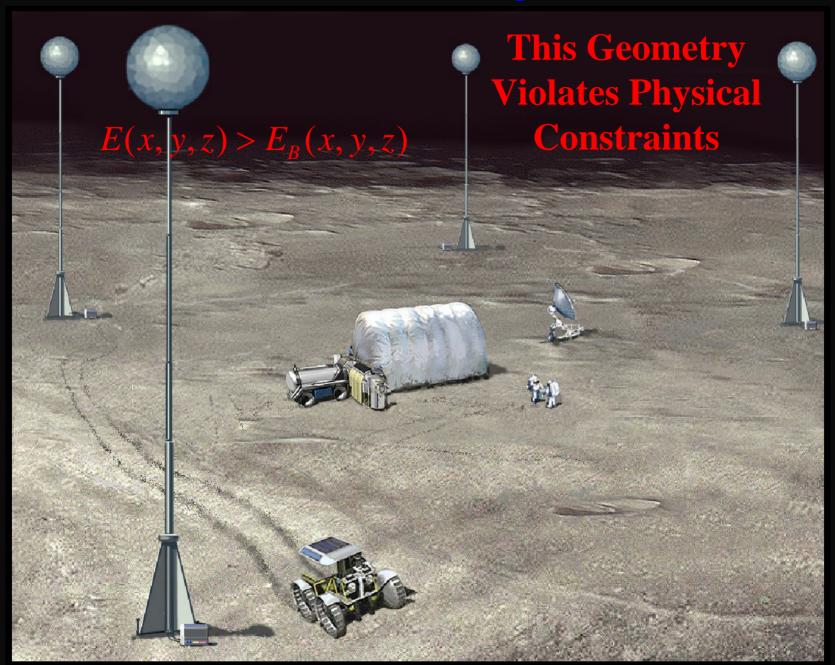
#### **Electrodes**

• Surface Charge Distribution must remain well below a threshold breakdown value:  $\sigma(x, y, z) < \sigma_B(x, y, z)$  In the case of conductors, when  $\sigma(x, y, z)$  exceeds  $\sigma_B(x, y, z)$ , the Coulomb force expels charge from the surface of the conductor.

### Electrostatic Shield Design Constraints



### Electrostatic Shield Design Constraints



# Electrostatic Shield Design Constraints Mechanical

#### **Forces**

• The Coulomb forces between electrodes must not exceed the mechanical strength of the materials. In the case of thin film polymers, for example, the tensile strength can not be exceeded.

#### Size and Weight

• Size and weight are limited by considerations related to transportation to the lunar surface and by practical assembly and construction activities.

# Electrostatic Shield Design Constraints Power, Dust, and X-Rays

#### Power

• Collision of charged particles with electrodes leads to a current, which must be minimized in order to constrain power requirements.

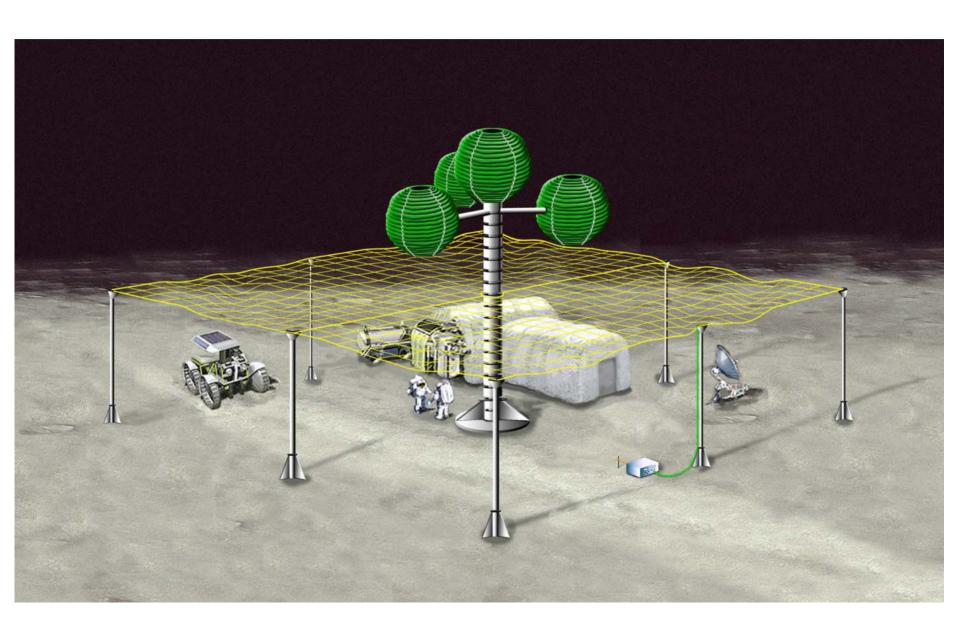
#### Surface Dust and Free Electrons

• The design must avoid attraction of surface dust and electrons to the high voltage electrodes.

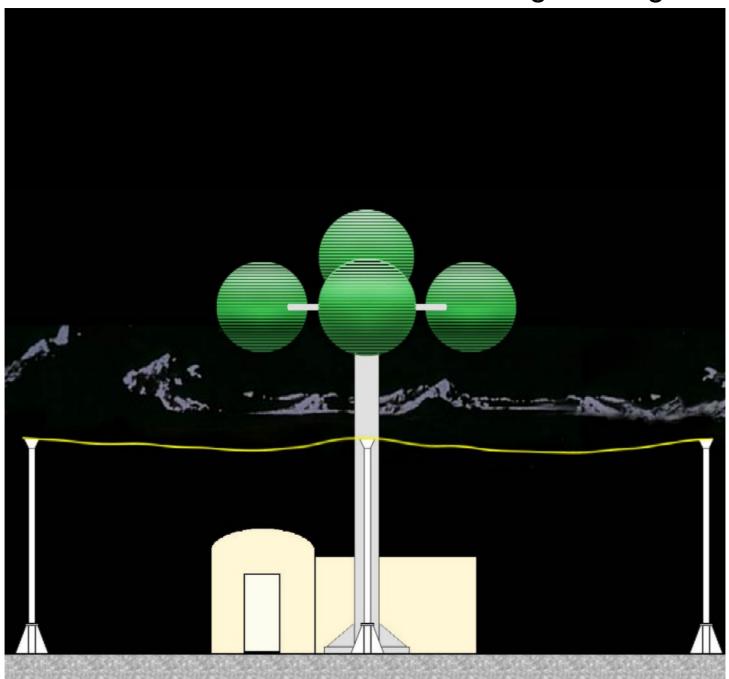
#### Brehmsstrahlung X-Rays

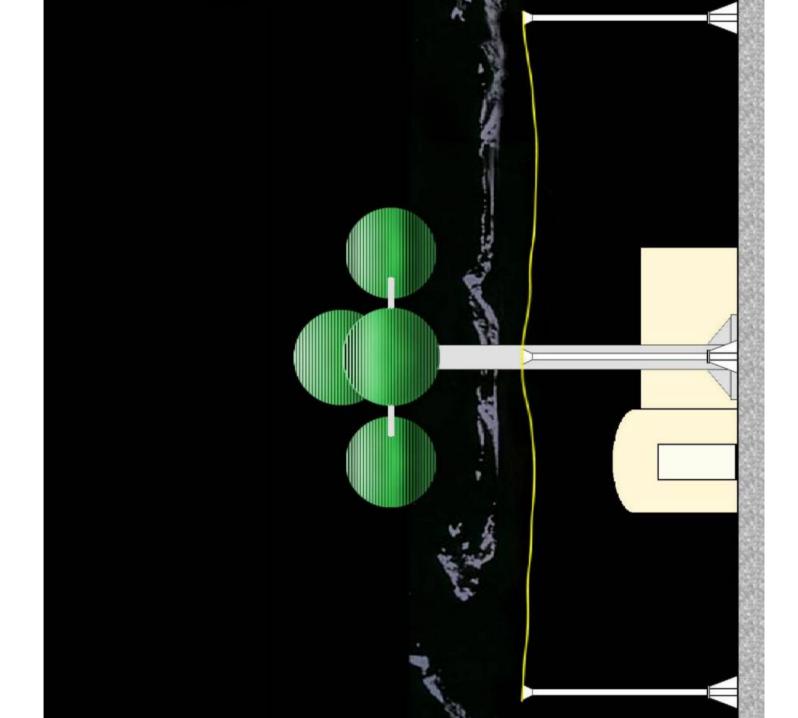
• Solar wind electrons accelerated by high voltage positive electrodes, must not be allowed to decelerate due to collisions with the electrodes.

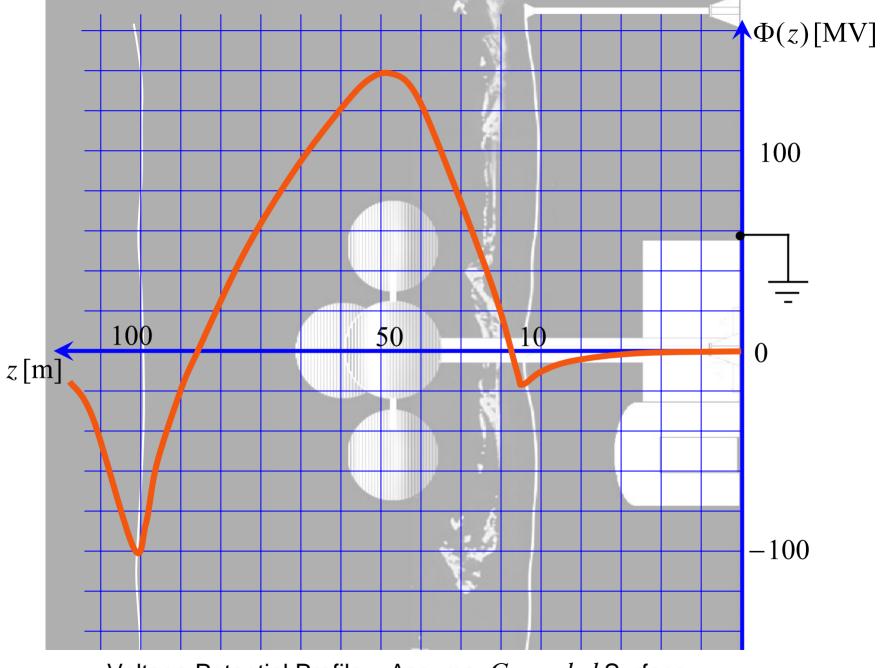
### Four Positive Sphere Electrodes



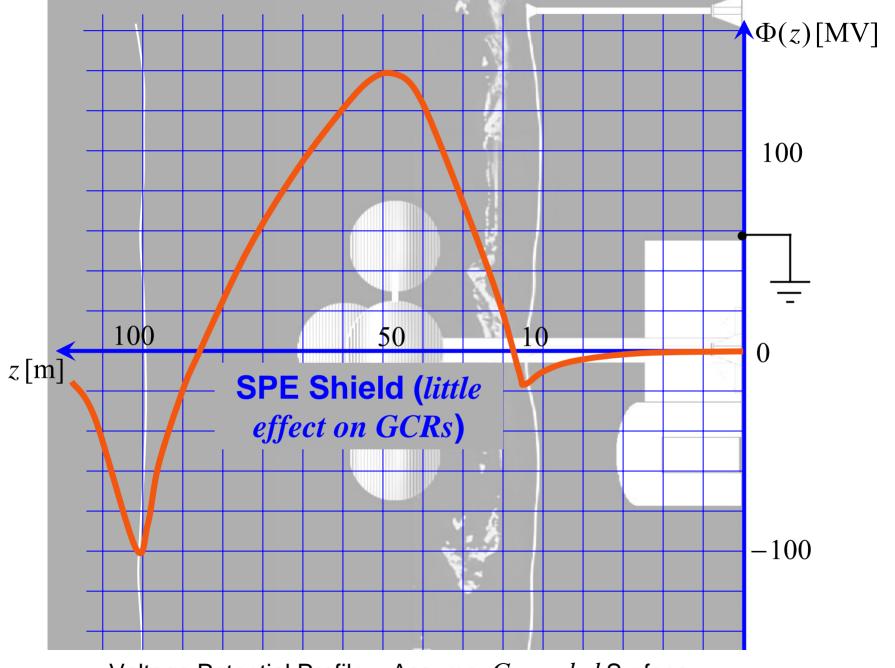
Front View of Lunar Habitat and Positive High Voltage Shield



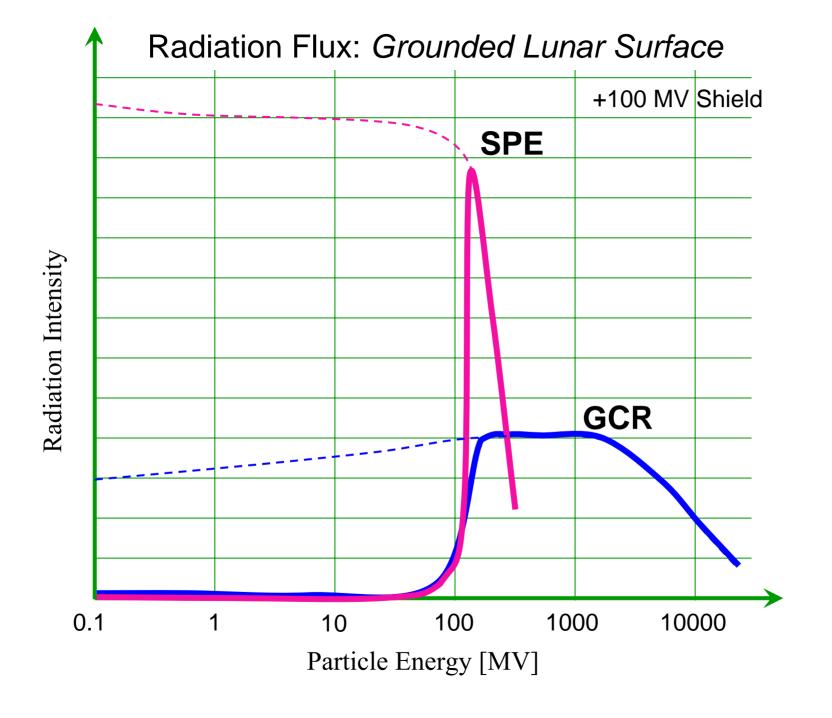


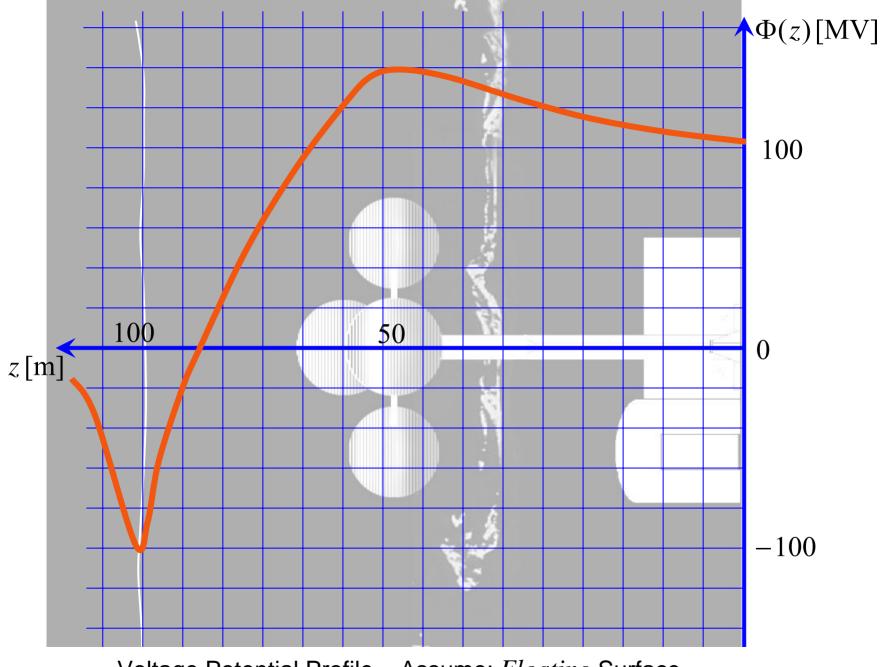


Voltage Potential Profile – Assume: Grounded Surface

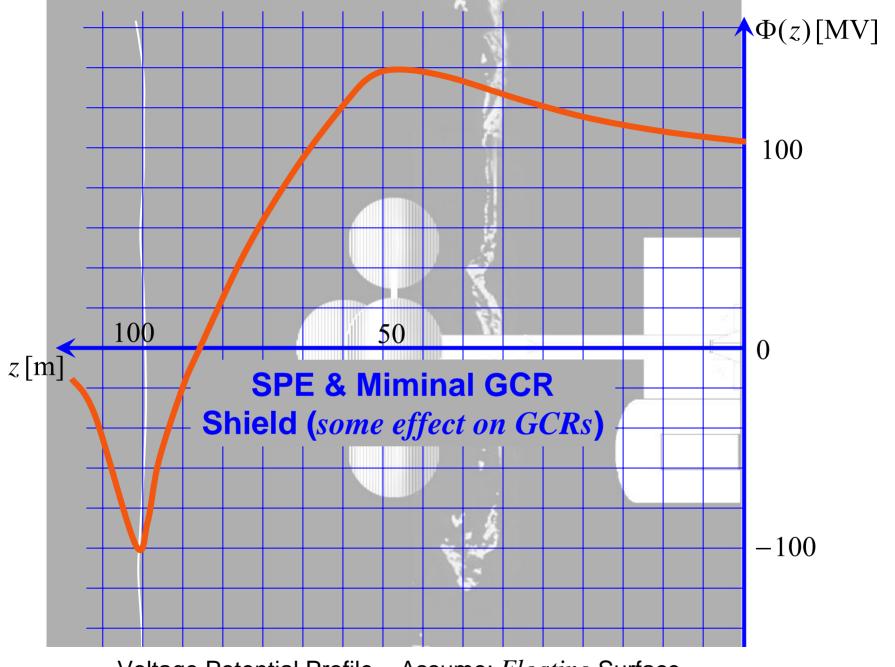


Voltage Potential Profile – Assume: Grounded Surface

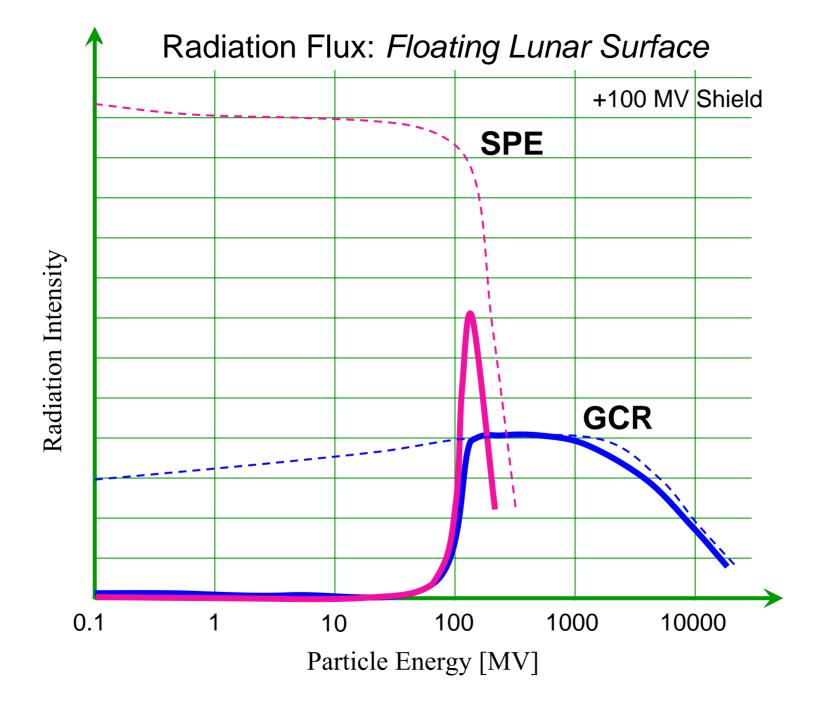


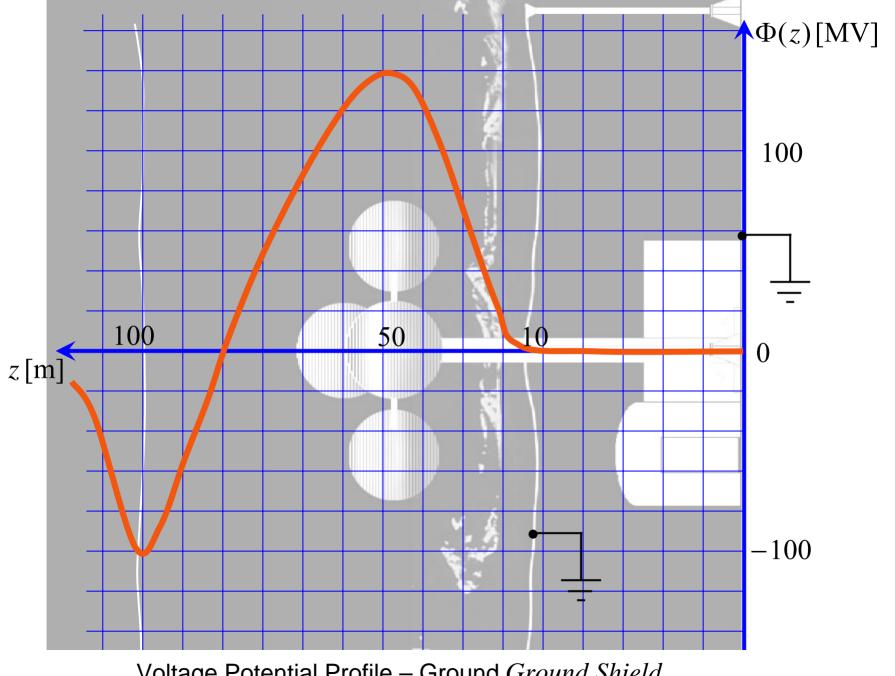


Voltage Potential Profile – Assume: Floating Surface

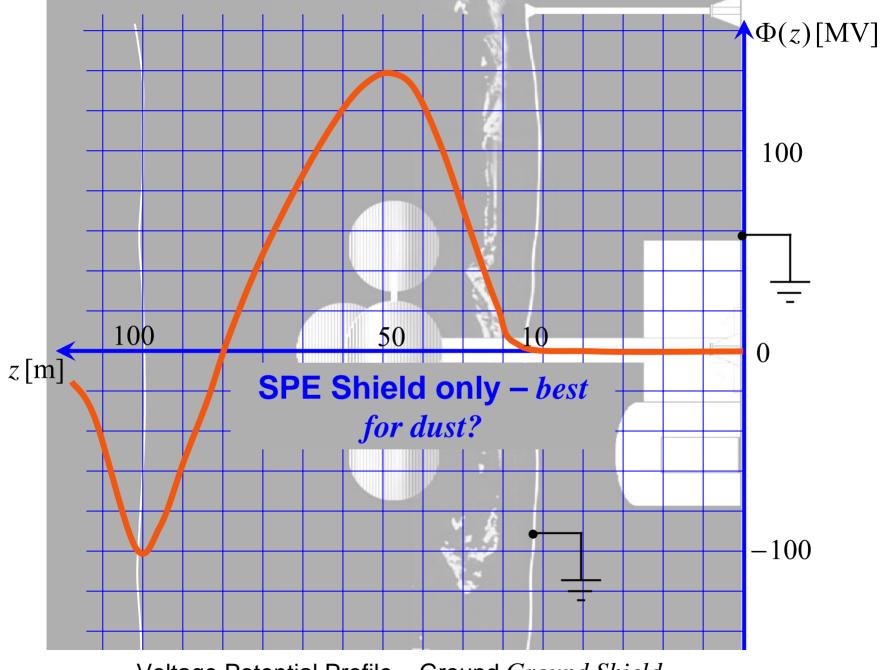


Voltage Potential Profile – Assume: Floating Surface

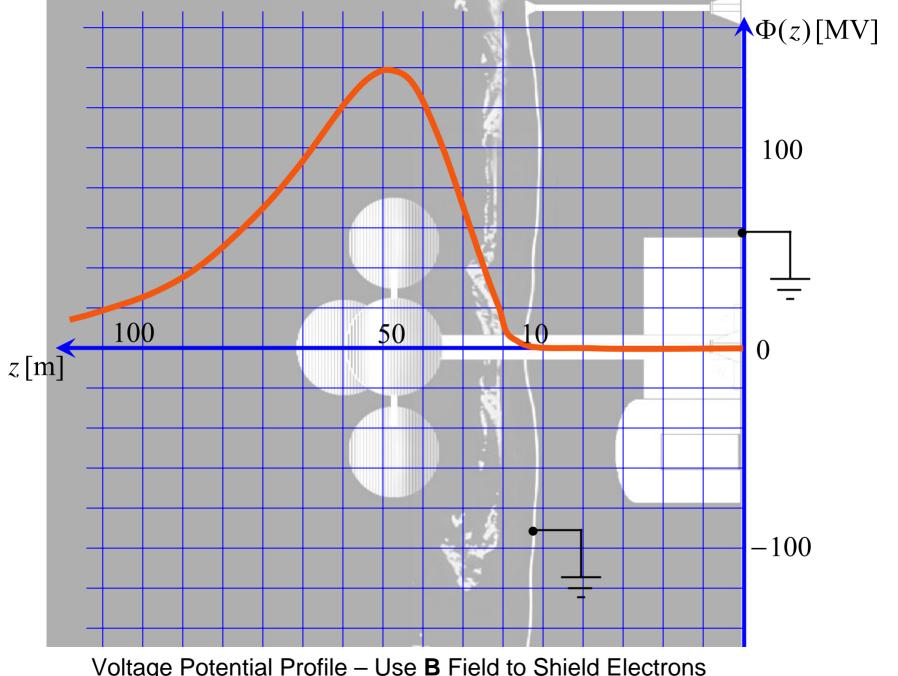




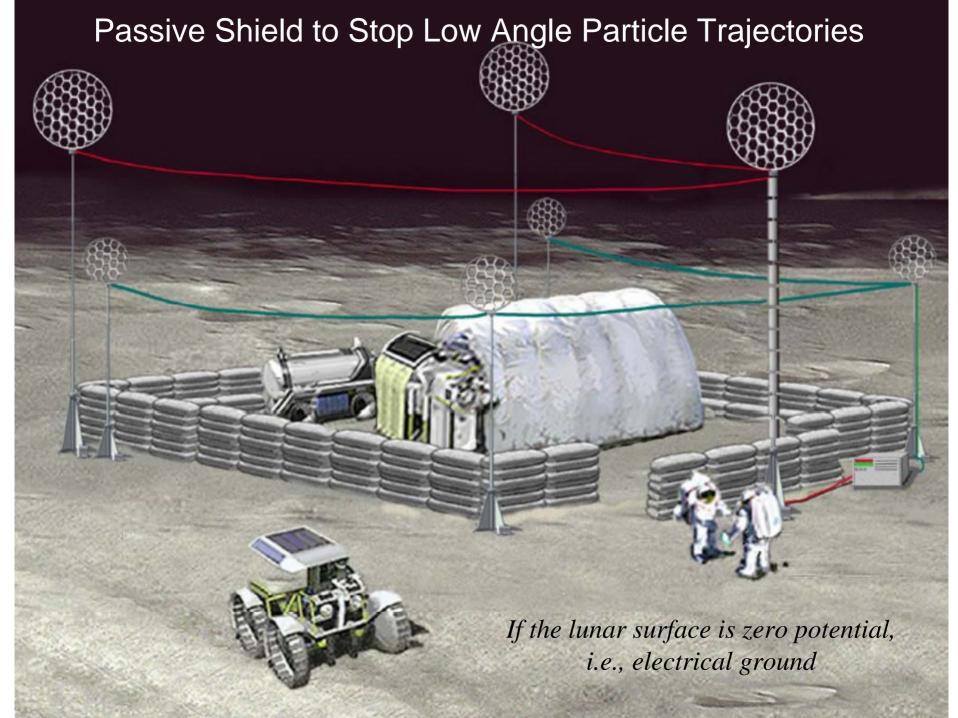
Voltage Potential Profile – Ground Ground Shield

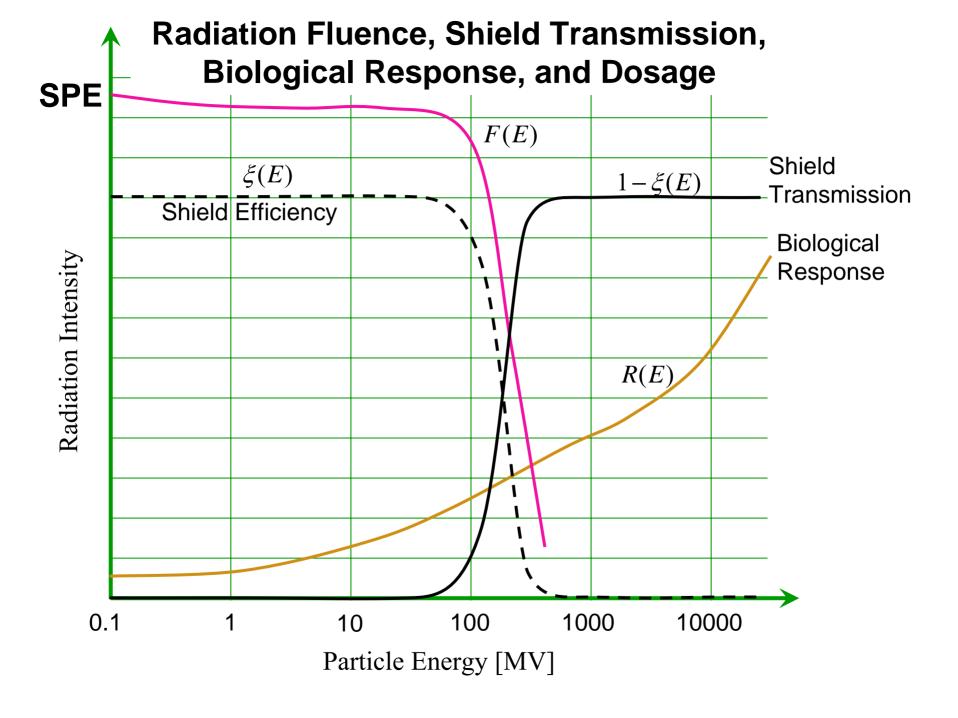


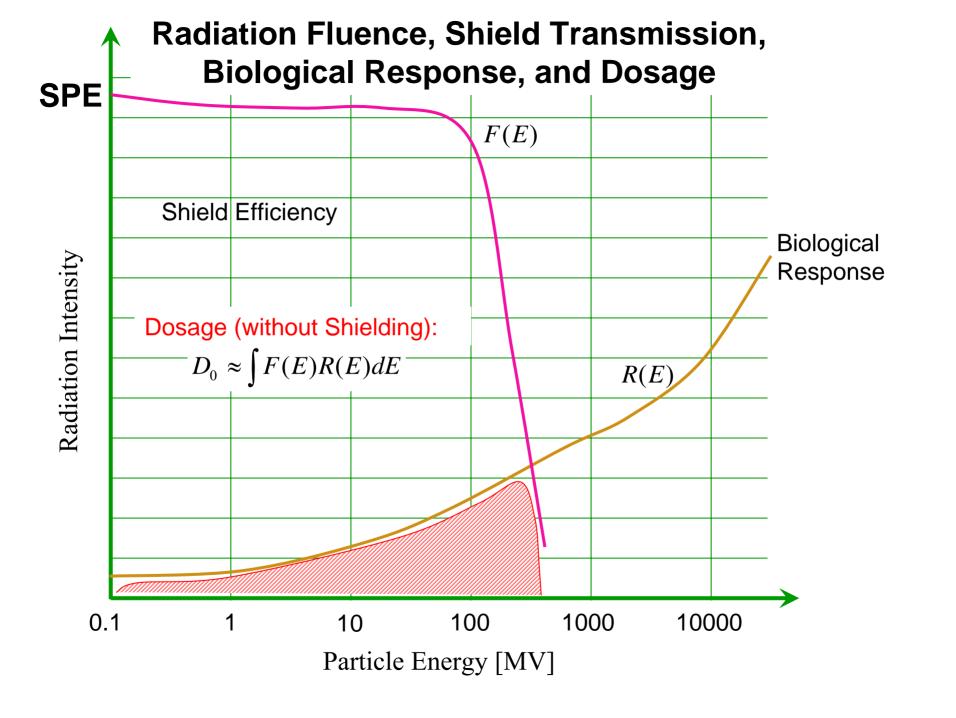
Voltage Potential Profile – Ground Ground Shield

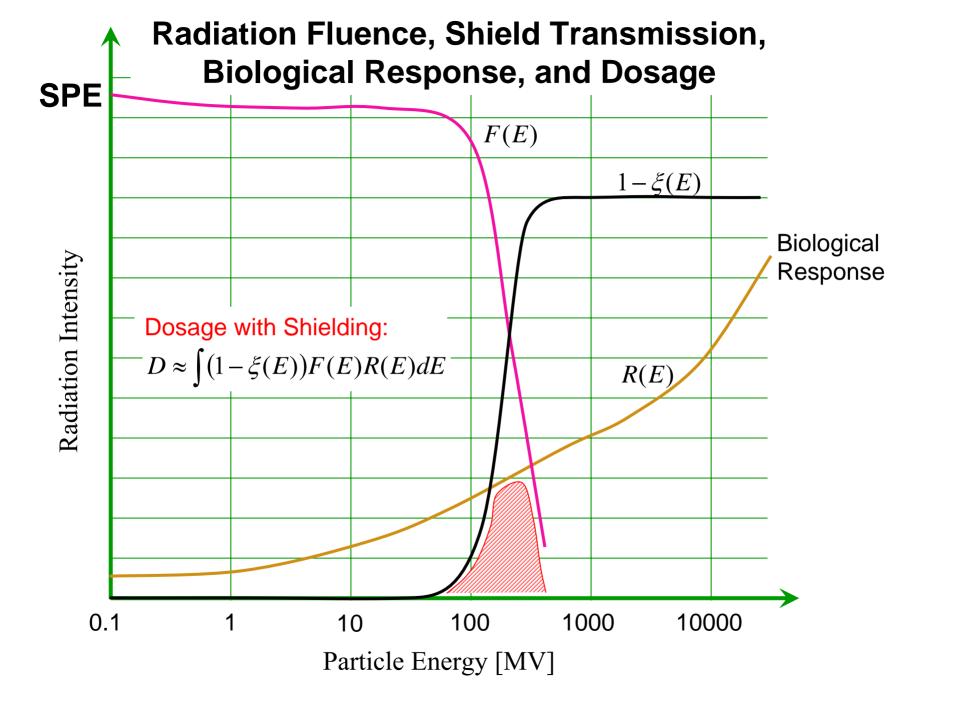


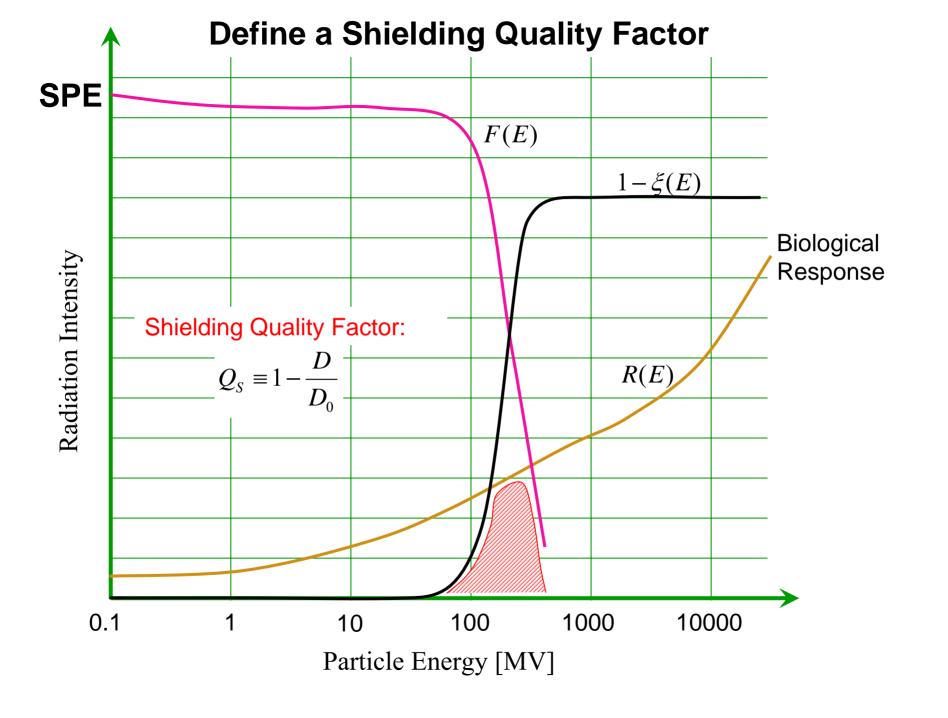
Voltage Potential Profile – Use B Field to Shield Electrons

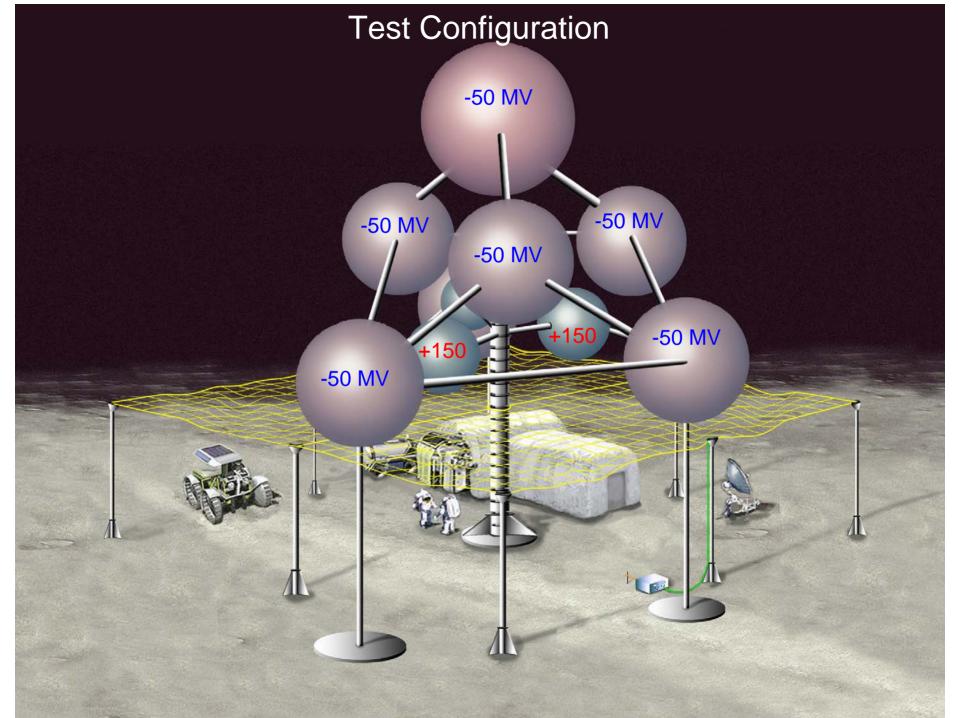




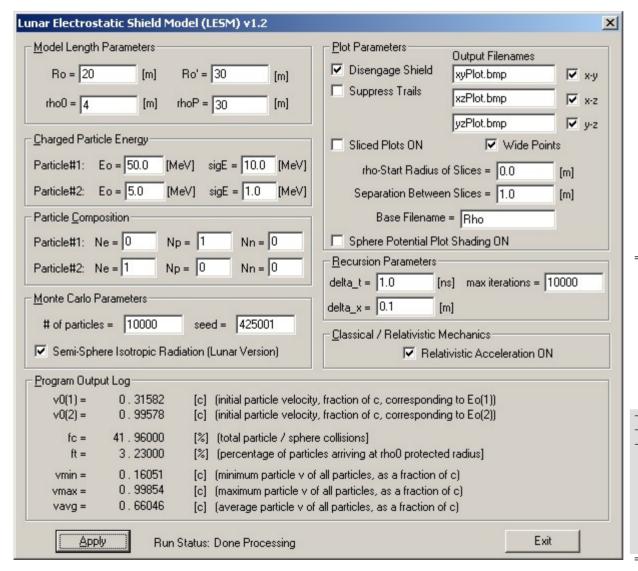








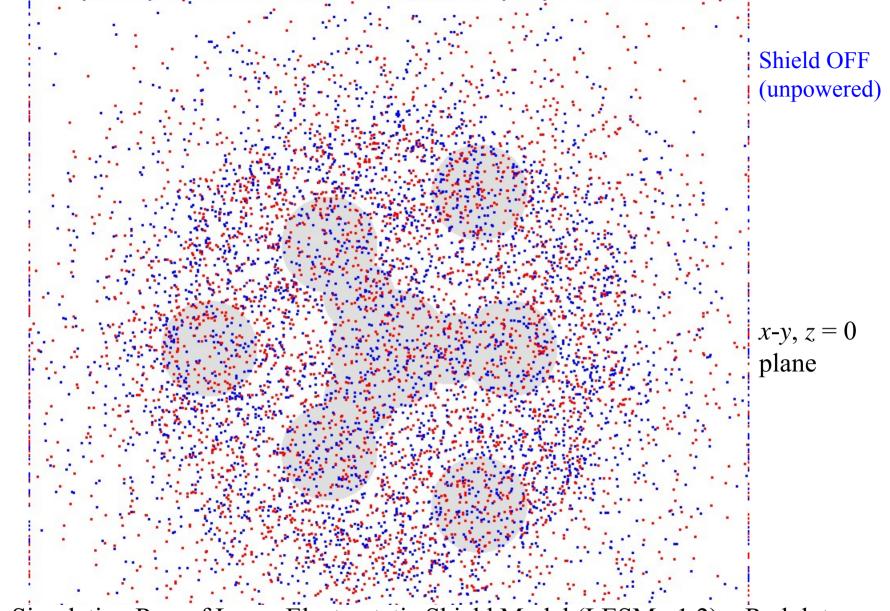
## Simulation Run of Lunar Electrostatic Shield Model (LESM v1.2) – User Interface and Sphere Configuration File



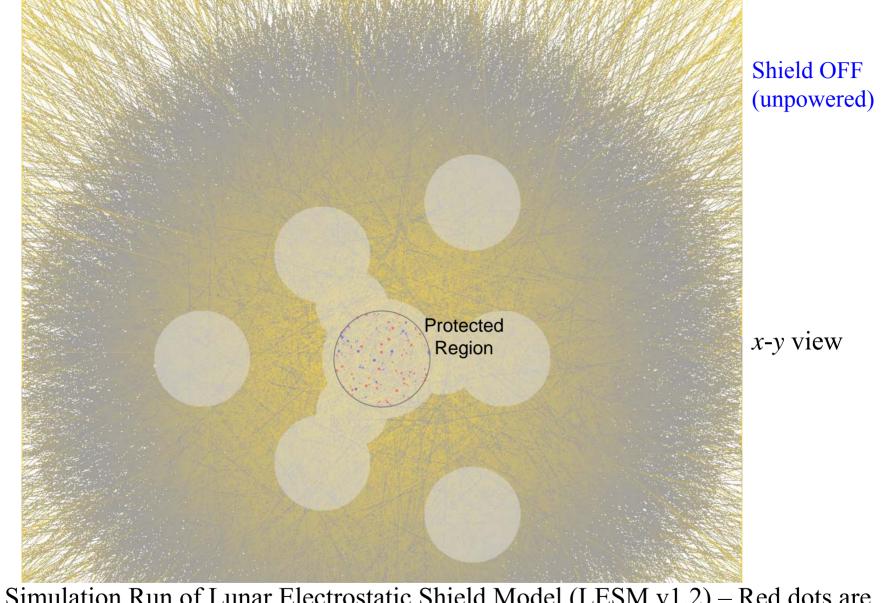
Shield OFF (unpowered)

## Sphere Configuration File Shaded Entries Correspond To Image Charges Below Lunar Surface

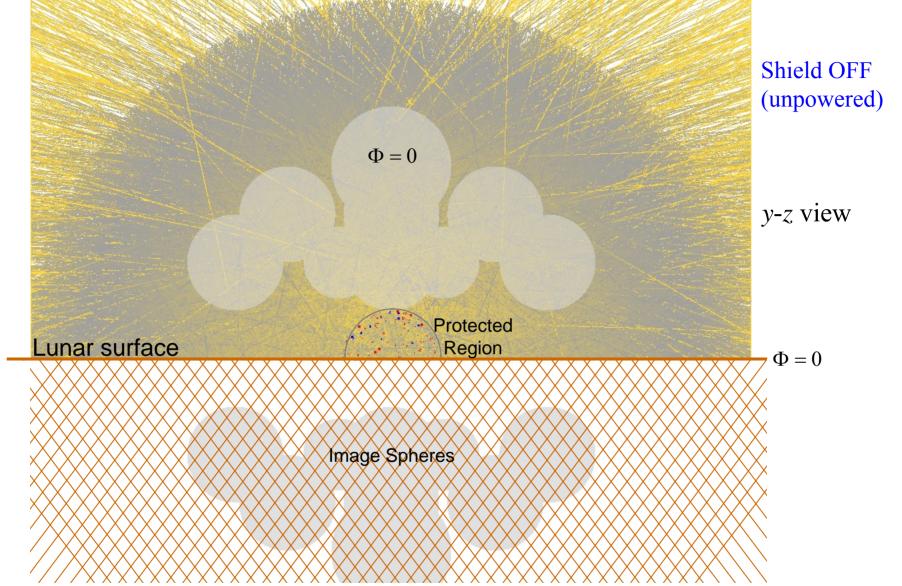
20	Number of	Sphere	es	
V [MV			-	z [m]
150.0 150.0 150.0 -50.0 -50.0 -50.0 -50.0 -50.0	3.0 3.0 3.0 4.0 4.0 4.0 5.0	5.0 -2.5 -2.5 10.0 -5.0 -5.0 0.0 -15.0 7.5	0.0 4.33 -4.33 0.0 8.66 -8.66 0.0 0.0 12.99 -12.99	8.0 8.0 8.0 12.0 12.0 16.0 8.0 8.0
-150.0 -150.0 -150.0 50.0 50.0 50.0 50.0 50.0	3.0 3.0 3.0 4.0 4.0 4.0 5.0 4.0 4.0	5.0 -2.5 -2.5 10.0 -5.0 -5.0 0.0 -15.0 7.5	0.0 4.33 -4.33 0.0 8.66 -8.66 0.0 0.0 12.99	-8.0 -8.0 -12.0 -12.0 -12.0 -16.0 -8.0 -8.0 -8.0



Simulation Run of Lunar Electrostatic Shield Model (LESM v1.2) – Red dots are intersection of electrons and blue dots are intersection of protons with lunar surface (z=0). Gray circles are x-y projections of unpowered electrostatic spheres.

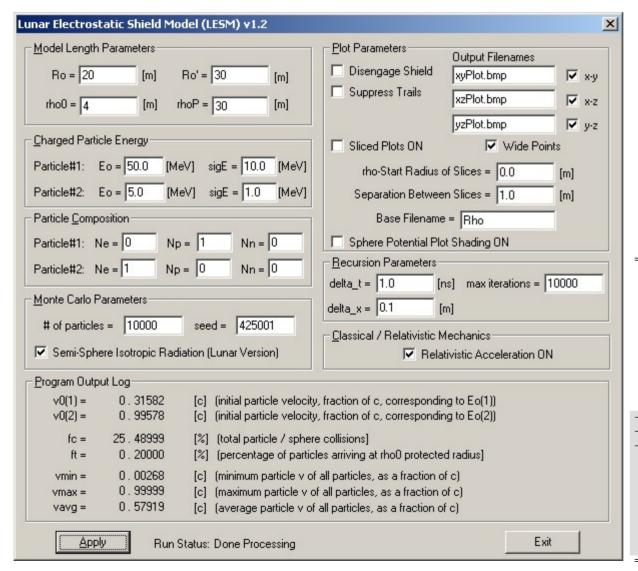


Simulation Run of Lunar Electrostatic Shield Model (LESM v1.2) – Red dots are intersection of electrons and blue dots are intersection of protons with a 4 [m] radius sphere (protected area) centered at x = 0, y = 0, z = 0. Gray circles are x-y projections of unpowered electrostatic spheres. Yellow-gray trails are particle trajectory paths.



Simulation Run of Lunar Electrostatic Shield Model (LESM v1.2) – Red dots are intersection of electrons and blue dots are intersection of protons with a 4 [m] radius sphere (protected area) centered at x = 0, y = 0, z = 0. Gray circles are y-z projections of unpowered electrostatic spheres. Yellow-gray trails are particle trajectory paths.

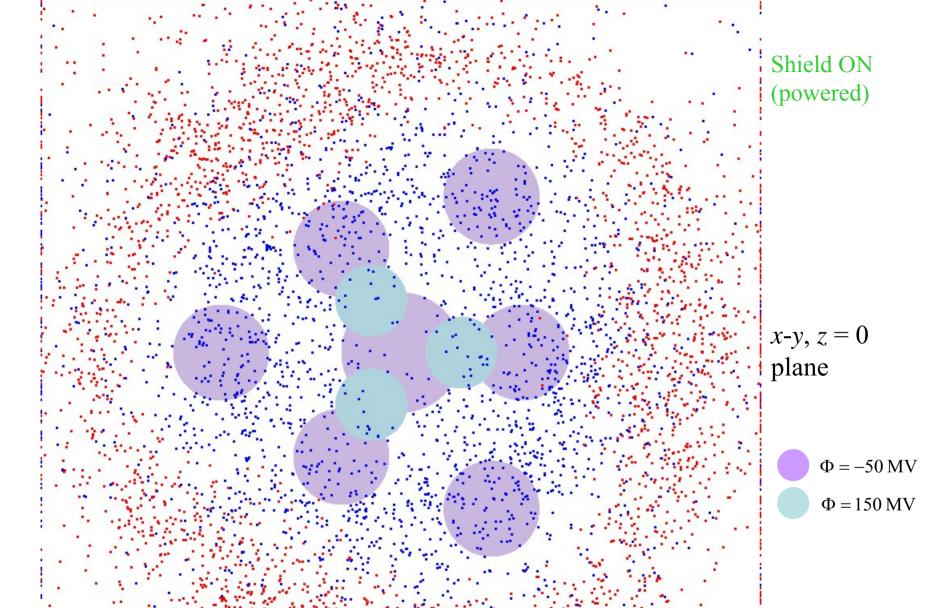
## Simulation Run of Lunar Electrostatic Shield Model (LESM v1.2) – User Interface and Sphere Configuration File



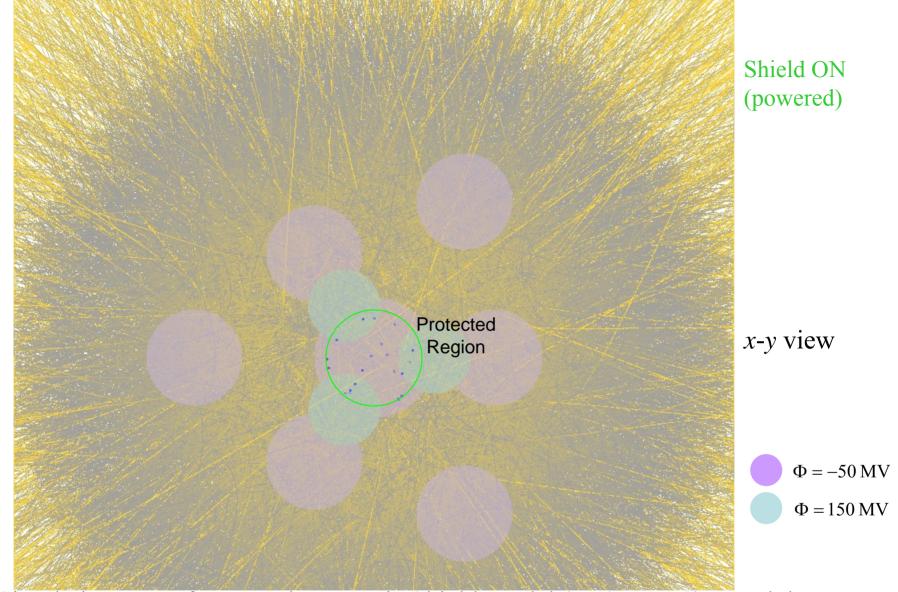
Shield ON (powered)

## Sphere Configuration File Shaded Entries Correspond To Image Charges Below Lunar Surface

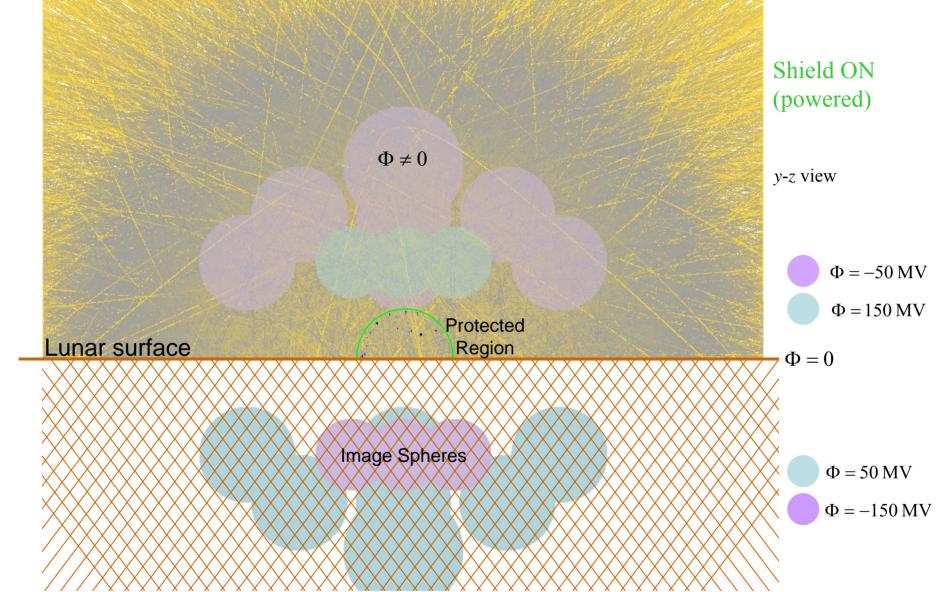
20	Number o	f Sphere	s	
V [MV			y [m]	
150.0 150.0 150.0 -50.0 -50.0 -50.0 -50.0 -50.0 -50.0 -50.0 50.0 50.0 50.0 50.0 50.0 50.0	3.0 3.0 4.0 4.0 4.0 5.0 4.0 4.0 3.0 3.0 3.0 4.0 4.0 4.0	5.0 -2.5 -2.5 10.0 -5.0 0.0 -15.0 7.5 7.5 5.0 -2.5 -2.5 10.0 -5.0 0.0	0.0 4.33 -4.33 0.0 8.66 -8.66 0.0 0.0 12.99 -12.99 0.0 4.33 -4.33 0.0 8.66 -8.66 0.0 0.0	8.0 8.0 8.0 12.0 12.0 12.0 16.0 8.0 8.0 -8.0 -8.0 -12.0 -12.0 -12.0 -16.0 -8.0
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Simulation Run of Lunar Electrostatic Shield Model (LESM v1.2) – Red dots are intersection of electrons and blue dots are intersection of protons with lunar surface (z=0). Gray circles are x-y projections of powered electrostatic spheres.



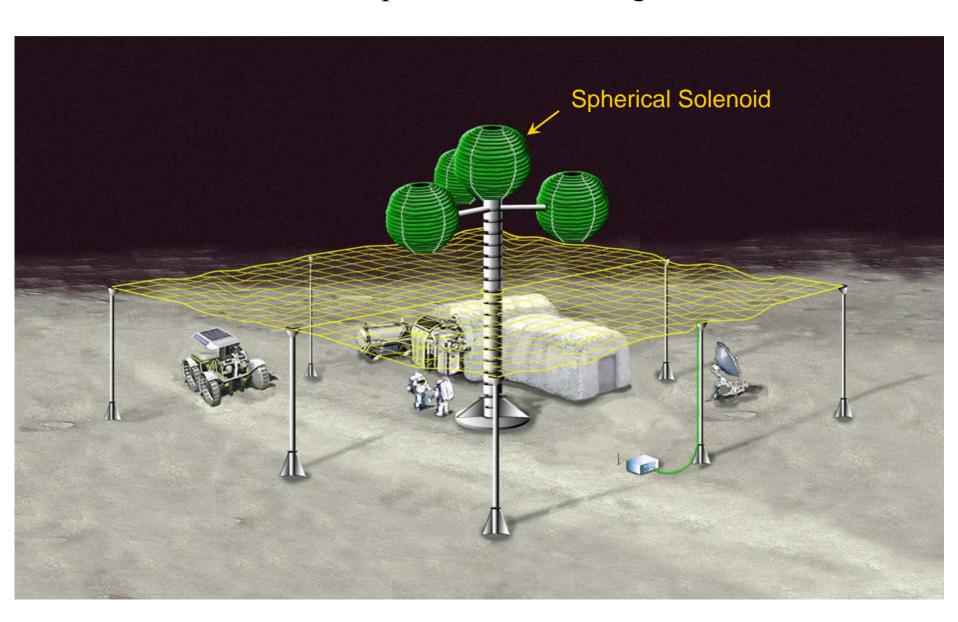
Simulation Run of Lunar Electrostatic Shield Model (LESM v1.2) – Red dots are intersection of electrons and blue dots are intersection of protons with a 4 [m] radius sphere (protected area) centered at x = 0, y = 0, z = 0. Gray circles are x-y projections of powered electrostatic spheres. Yellow-gray trails are particle trajectory paths.



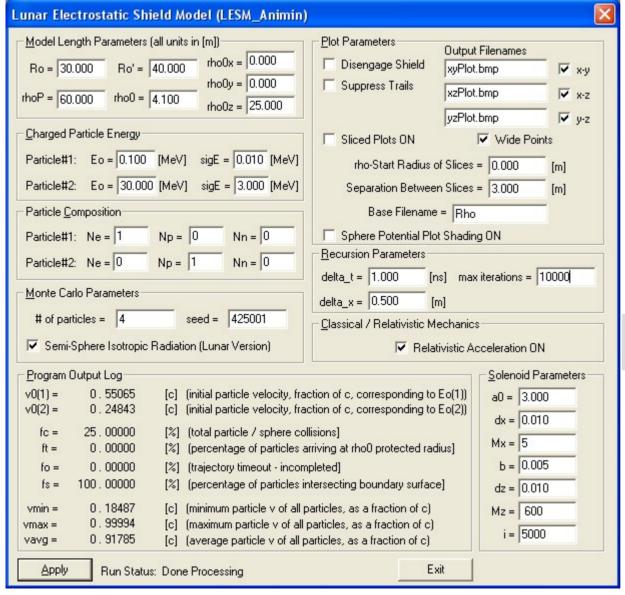
Simulation Run of Lunar Electrostatic Shield Model (LESM v1.2) – Red dots are intersection of electrons and blue dots are intersection of protons with a 4 [m] radius sphere (protected area) centered at x = 0, y = 0, z = 0. Gray circles are y-z projections of powered electrostatic spheres. Yellow-gray trails are particle trajectory paths.

#### **Model Simulation Results**

Four Electrostatic Spheres and One Magnetic Field Coil



## Simulation Run of Lunar Electrostatic Shield Model (LESM v2.3) – User Interface and Sphere Configuration File



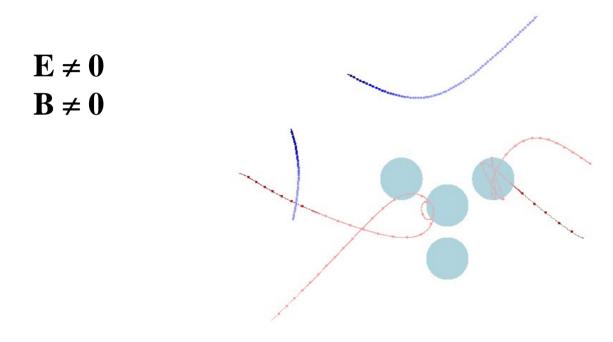
Shield ON (powered)

#### Sphere Configuration File Shaded Entries Correspond To Image Charges Below Lunar Surface

8 Number of Spheres							
V [MV]	R [m]	x [m]	y [m]	z [m]			
=======================================							
100	4.0	0.0	0.0	25.0			
50	4.0	8.66	5.0	20.0			
50	4.0	-8.66	5.0	20.0			
50	4.0	0.00	-10.0	20.0			
-100	4.0	0.0	0.0	-25.0			
-50	4.0	8.66	5.0	-20.0			
-50	4.0	-8.66	5.0	-20.0			
-50	4.0	0.00	-10.0	-20.0			

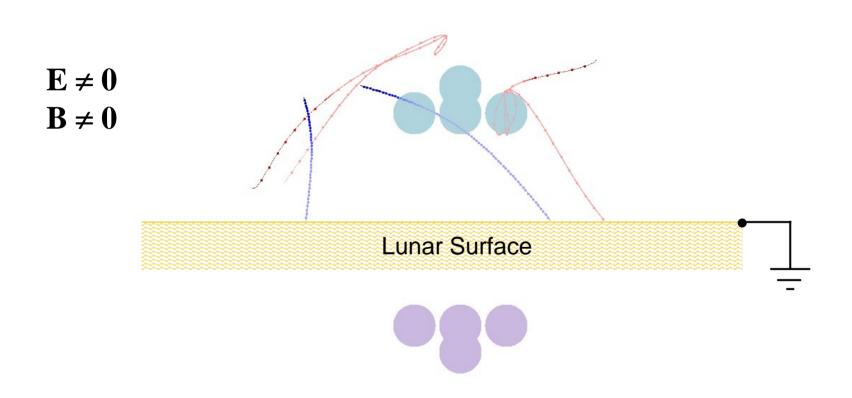
#### Model Simulation Results: x-y Plane

Two 30 MeV Protons and Two 1 MeV Electrons



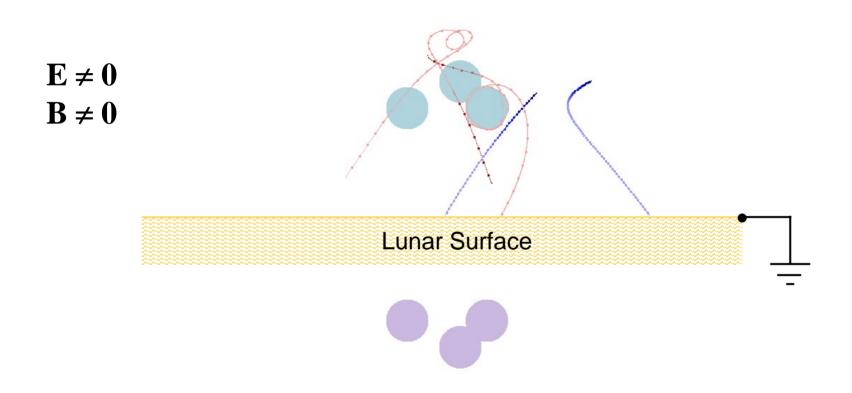
#### Model Simulation Results: x-z Plane

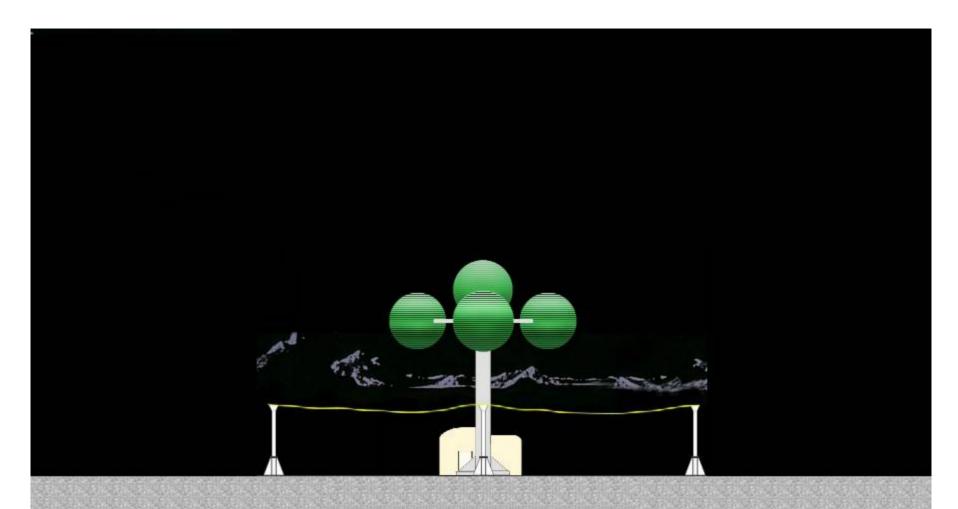
Two 30 MeV Protons and Two 1 MeV Electrons



#### Model Simulation Results: y-z Plane

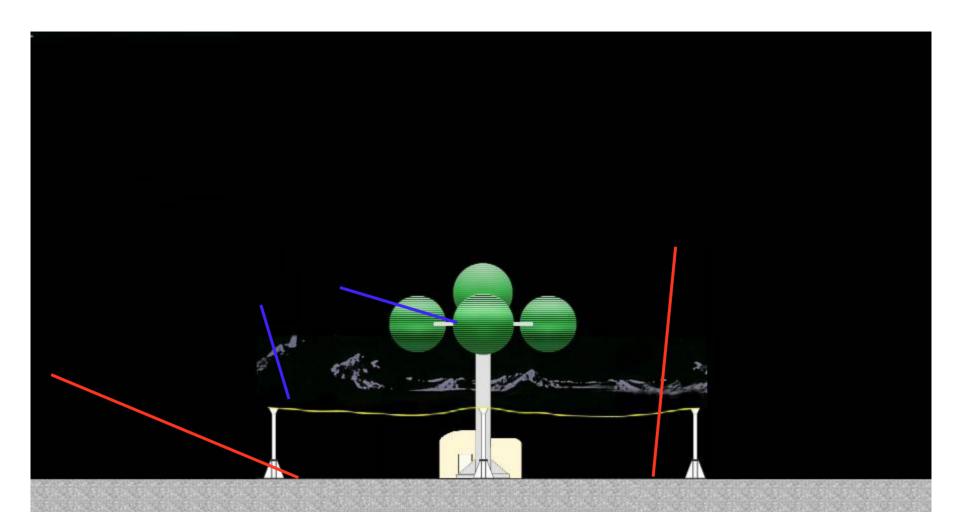
Two 30 MeV Protons and Two 1 MeV Electrons





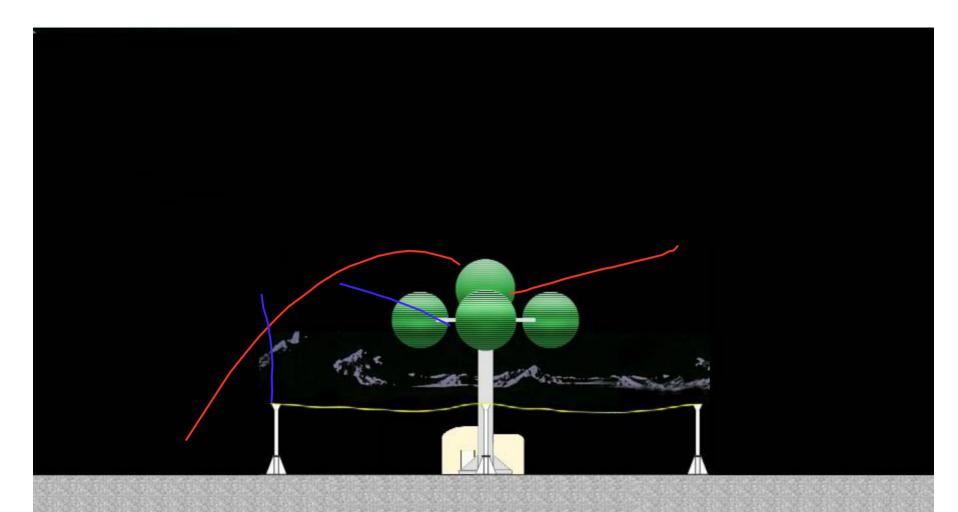
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B = off



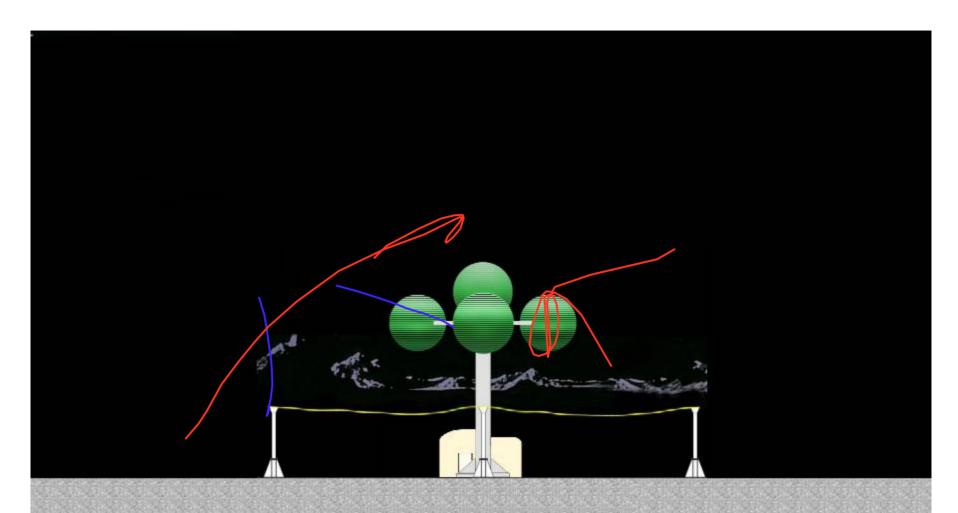
E = off

B = off



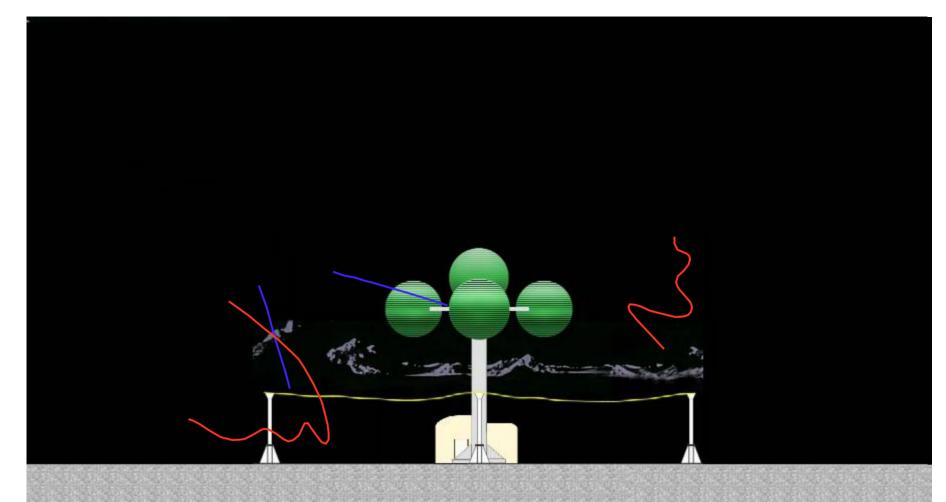
$$E = on$$

$$B = off$$



E = on

B = on



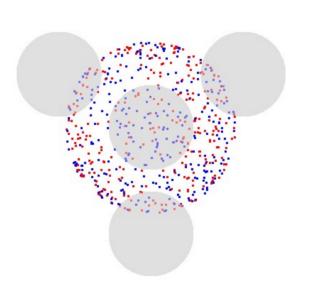
E = off

B = on

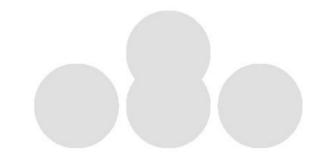
#### Model Simulation Results: x-y Plane

30 MeV Protons, 1 MeV Electrons

$$\mathbf{E} = \mathbf{0}$$
$$\mathbf{B} = \mathbf{0}$$



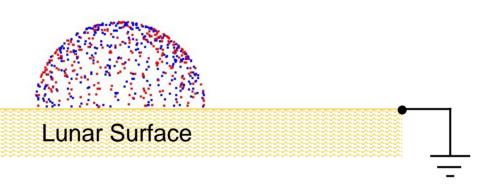
#### Model Simulation Results: x-z Plane

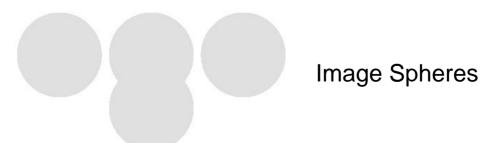


30 MeV Protons, 1 MeV Electrons

$$\mathbf{E} = \mathbf{0}$$

$$\mathbf{B} = \mathbf{0}$$

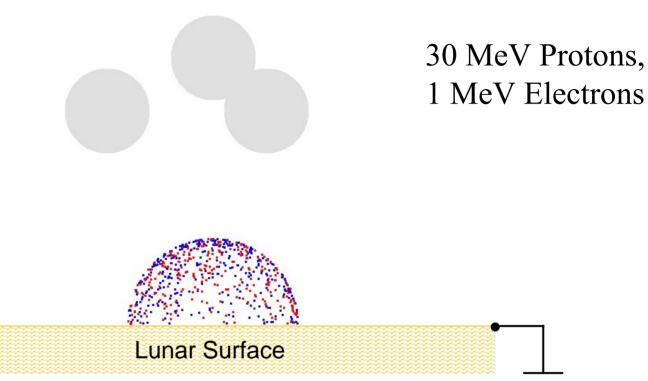


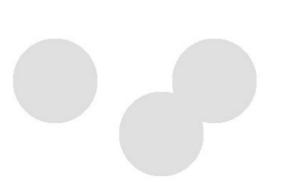


#### Model Simulation Results: y-z Plane

 $\mathbf{E} = \mathbf{0}$ 

B = 0

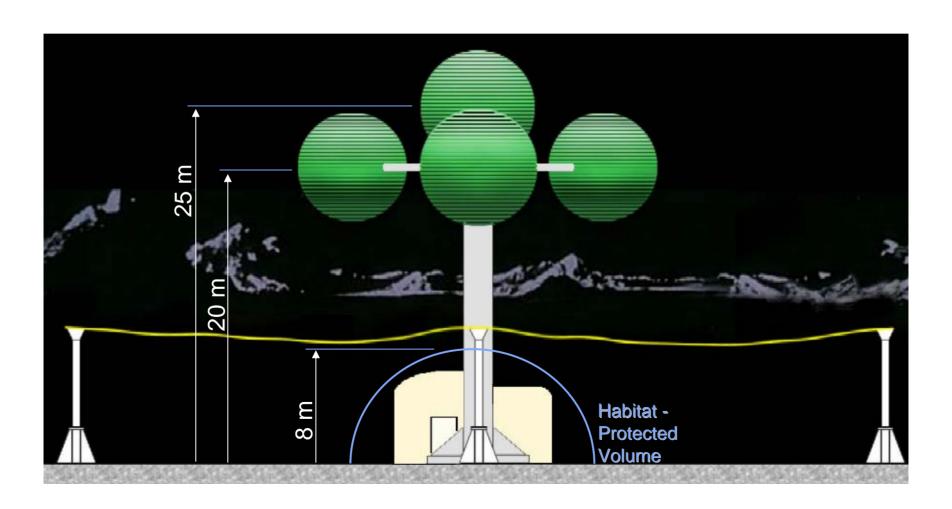




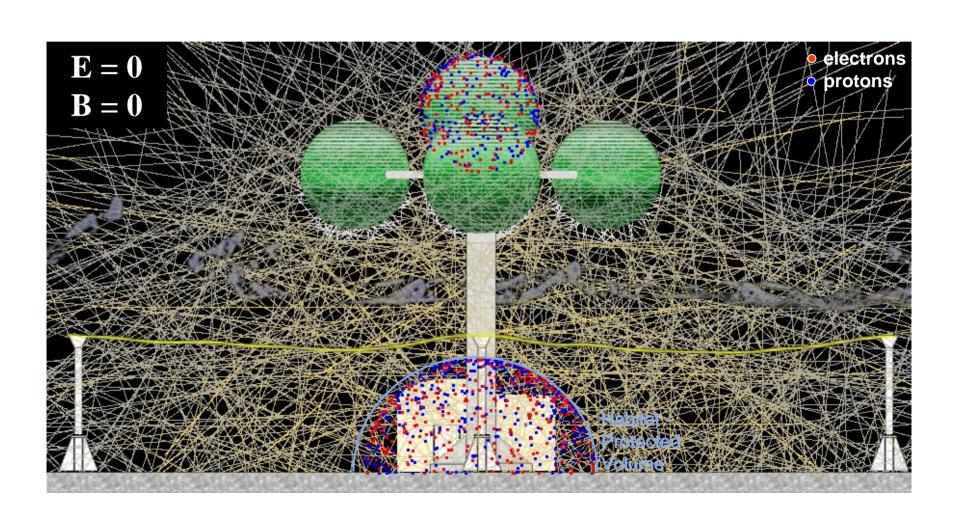
**Image Spheres** 

#### **Model Simulation Results**

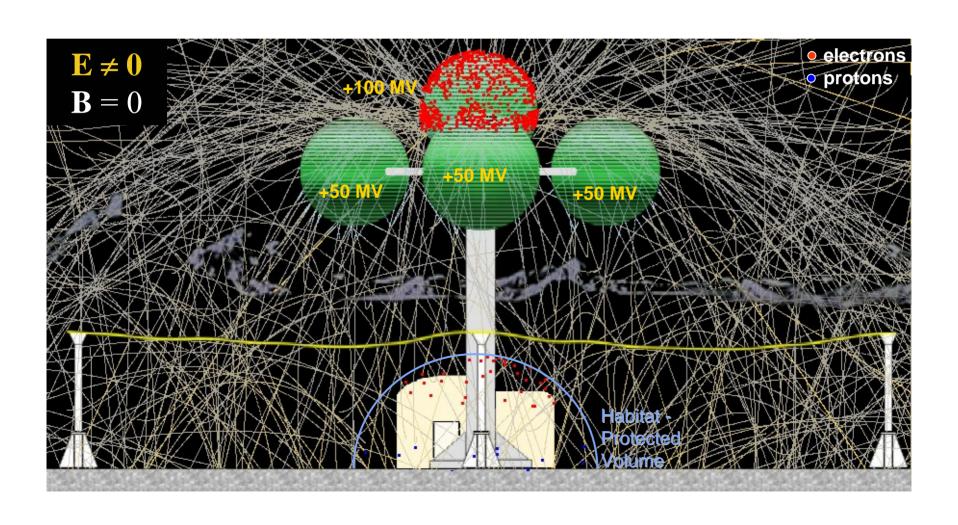
#### One +100 MV Sphere and Three +50 MV Spheres



# Model Simulation Results 30 MeV Protons, 1 MeV Electrons

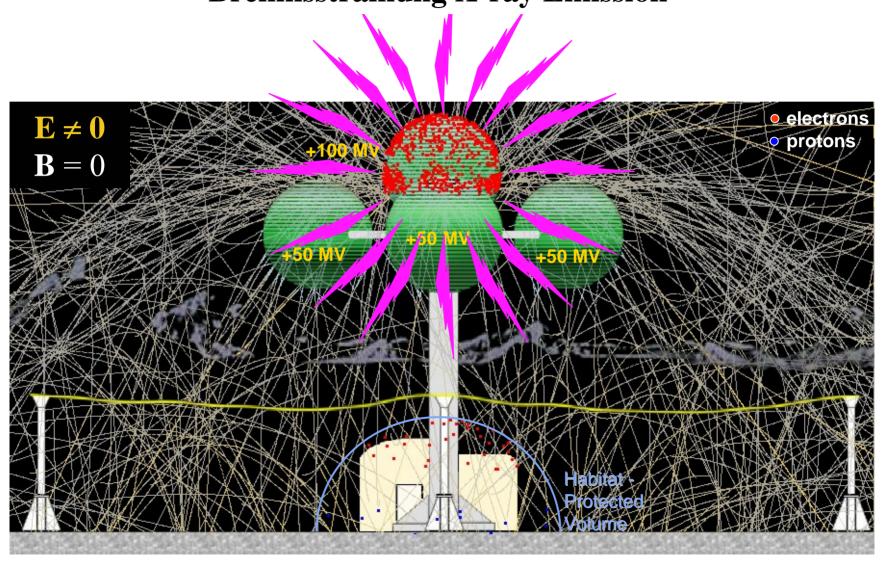


# Model Simulation Results 30 MeV Protons, 1 MeV Electrons



### Model Simulation Results

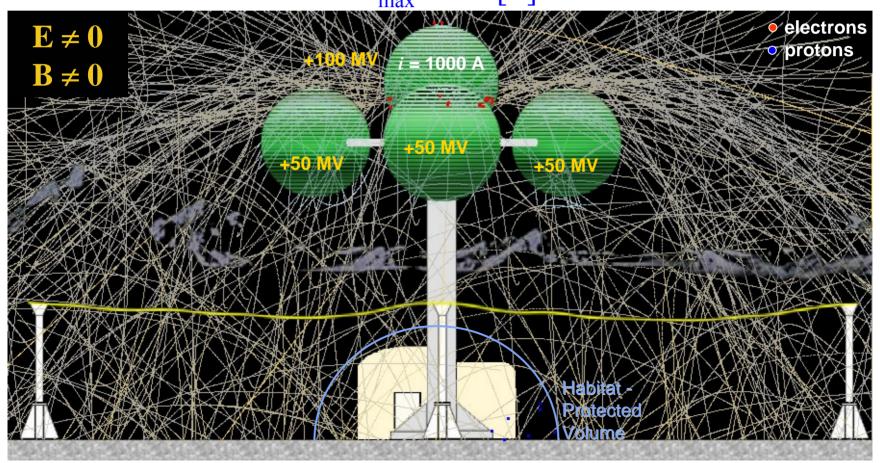
**Brehmsstrahlung X-ray Emission** 



## Model Simulation Results

30 MeV Protons, 1 MeV Electrons

 $B_{\rm max} \approx 0.5 [{\rm T}]$ 



#### Electric Field Due to a System of Conducting Spheres

The field due to a system of N point charges at a field point  $\mathbf{r}$  is:

$$\mathbf{E}(\mathbf{r}) = \frac{1}{4\pi\varepsilon_0} \sum_{i=1}^{N} q_i \frac{\mathbf{r} - \mathbf{r}_i}{\left|\mathbf{r} - \mathbf{r}_i\right|^3}$$
(1)

where  $\mathbf{r}_i$  is the location of the *i*th point charge  $q_i$ 

If the *i*th point charge  $q_i$  is implemented as a sphere with radius  $R_i$  and a uniform charge distribution at potential  $R_i$ , Equation (1) can be rewritten as:

$$\mathbf{E}(\mathbf{r}) = \sum_{i=1}^{N} V_i R_i \frac{\mathbf{r} - \mathbf{r}_i}{|\mathbf{r} - \mathbf{r}_i|^3}$$
 (2)

#### Equation of Motion of a Charged Particle

A particle of charge Q, velocity  $\mathbf{v}$  and rest mass  $m_0$  in combined static electric and magnetic fields, is:

$$Q\mathbf{E}(\mathbf{r}) + Q\mathbf{v} \times \mathbf{B}(\mathbf{r}) = \mathbf{p} \mathbf{k}$$

$$= \frac{d}{dt} (\gamma m_0 \mathbf{v})$$

$$= \gamma m_0 \left( \mathbf{k} + \gamma^2 \frac{\mathbf{v} \cdot \mathbf{k}}{c^2} \mathbf{v} \right)$$
(3)

where, 
$$\gamma = (1 - v^2 / c^2)^{-1/2}$$

#### Solution to Particle Equation of Motion

The acceleration of the particle,  $\mathbf{a} \equiv \mathbf{w}$ , of the particle is calculated by re-writing Equation (3):

$$\mathbf{C} \cdot \mathbf{a} = \frac{Q}{\gamma m_0} (\mathbf{E}(\mathbf{r}) + \mathbf{v} \times \mathbf{B}(\mathbf{r}))$$
 (4)

where,

$$\mathbf{C} = \begin{pmatrix} c_{11} & c_{12} & c_{13} \\ c_{21} & c_{22} & c_{23} \\ c_{31} & c_{32} & c_{33} \end{pmatrix} = \begin{pmatrix} 1 + \gamma^2 \frac{v_x^2}{c^2} & \gamma^2 \frac{v_x v_y}{c^2} & \gamma^2 \frac{v_x v_z}{c^2} \\ \gamma^2 \frac{v_y v_x}{c^2} & 1 + \gamma^2 \frac{v_y^2}{c^2} & \gamma^2 \frac{v_y v_z}{c^2} \\ \gamma^2 \frac{v_z v_x}{c^2} & \gamma^2 \frac{v_z v_y}{c^2} & 1 + \gamma^2 \frac{v_z^2}{c^2} \end{pmatrix}$$
(5)

#### **Solution** to Particle Equation of Motion

Solving for **a** in Equation (4),

$$\mathbf{a} = \frac{Q}{\gamma m_0} \mathbf{C}^{-1} \cdot (\mathbf{E}(\mathbf{r}) + \mathbf{v} \times \mathbf{B}(\mathbf{r}))$$

$$= \frac{1}{A_0} \begin{pmatrix} A_x \\ A_y \\ A_z \end{pmatrix}$$
(6)

where,

$$A_0 = (c_{13}c_{22}c_{31} - c_{12}c_{23}c_{31} - c_{13}c_{21}c_{32} + c_{11}c_{23}c_{32} + c_{12}c_{21}c_{33} - c_{11}c_{22}c_{33})m_0\gamma$$
(7)

$$A_{x} = \left(c_{23}c_{32}E_{x} - c_{22}c_{33}F_{x} - c_{13}c_{32}F_{y} + c_{12}c_{33}F_{y} + c_{13}c_{22}F_{z} - c_{12}c_{23}F_{z}\right)Q \tag{8a}$$

$$A_{y} = \left(-c_{23}c_{31}F_{x} + c_{21}c_{33}F_{x} + c_{13}c_{31}F_{y} - c_{11}c_{33}F_{y} - c_{13}c_{21}F_{z} + c_{11}c_{23}F_{z}\right)Q \tag{8b}$$

$$A_{z} = (c_{22}c_{31}F_{x} - c_{21}c_{32}F_{x} - c_{12}c_{31}F_{y} + c_{11}c_{32}F_{y} + c_{12}c_{21}F_{z} - c_{11}c_{22}F_{z})Q$$
(8c)

$$F_{x} \equiv E_{x} + v_{y}B_{z} - v_{z}B_{y} \tag{8d}$$

$$F_{v} \equiv E_{v} + v_{z}B_{x} - v_{x}B_{z} \tag{8e}$$

$$F_z \equiv E_z + v_x B_y - v_y B_x \tag{8f}$$

#### Trajectory Difference Equations of Particle Motion

Based on a Taylor series expansion about time point k, a set of difference equations for position and velocity can be expressed as:

$$\mathbf{v}_{k+1} \approx \mathbf{v}_k + \mathbf{v}_k \Delta t$$

$$\approx \mathbf{v}_k + \mathbf{a}_k \Delta t$$
(9a)

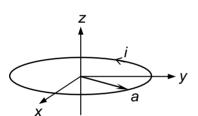
$$\mathbf{r}_{k+1} \approx \mathbf{r}_{k} + \mathbf{r}_{k}^{2} \Delta t + \frac{1}{2} \mathbf{a}_{k}^{2} \Delta t^{2}$$

$$\approx \mathbf{r}_{k} + \mathbf{v}_{k} \Delta t + \frac{1}{2} \mathbf{a}_{k} \Delta t^{2}$$
(9b)

where  $\Delta t$  a constant time step.

#### Magnetic Field due to a Current Loop

The magnetic field from a single current loop is:



Circular Current Loop.

$$B_{x}(x, y, z) = \frac{C x z}{2\alpha^{2}\beta\rho^{2}} ((a^{2} + R^{2}) E(k^{2}) - \alpha^{2} K(k^{2}))$$
 (10a)

$$B_{y}(x, y, z) = \frac{C y z}{2\alpha^{2}\beta\rho^{2}} ((a^{2} + R^{2}) E(k^{2}) - \alpha^{2} K(k^{2}))$$
 (10b)

$$B_z(x, y, z) = \frac{C}{2\alpha^2 \beta} \left( (a^2 - R^2) E(k^2) + \alpha^2 K(k^2) \right)$$
 (10c)

where  $E(k^2)$  and  $K(k^2)$  are the complete elliptic integrals of the first and second kind, respectively, and:

$$\rho^{2} \equiv x^{2} + y^{2}$$

$$\alpha^{2} \equiv a^{2} + R^{2} - 2a\rho$$

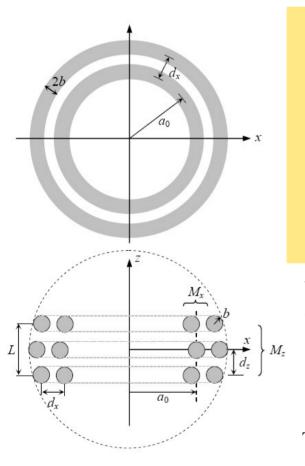
$$k^{2} \equiv 1 - \alpha^{2} / \beta^{2}$$

$$R^{2} \equiv \rho^{2} + z^{2}$$

$$\beta^{2} \equiv a^{2} + R^{2} + 2a\rho$$

$$C \equiv \mu_{0} i / \pi$$

#### Magnetic Field due to a Spherical Solenoid



Stacked multiple layer spherical coil.

$$B_{x}(x,y,z) = \frac{C x z}{2\rho^{2}} \sum_{m=-\frac{1}{2}(M_{z}-1)}^{\frac{1}{2}(M_{z}-1)} \sum_{n=0}^{M_{x}-1} \frac{\left((\alpha_{mn}^{2} + R_{m}^{2}) E(k_{mn}^{2}) - \alpha^{2} K(k_{mn}^{2})\right)}{\alpha_{mn}^{2} \beta_{mn}}$$
(11a)

$$B_{y}(x,y,z) = \frac{C y z}{2\rho^{2}} \sum_{m=-\frac{1}{2}(M_{z}-1)}^{\frac{1}{2}(M_{z}-1)} \sum_{n=0}^{M_{x}-1} \frac{\left((a_{mn}^{2} + R_{m}^{2}) E(k_{mn}^{2}) - \alpha^{2} K(k_{mn}^{2})\right)}{\alpha_{mn}^{2} \beta_{mn}}$$
(11b)

$$B_{z}(x, y, z) = \frac{C}{2} \sum_{m=-\frac{1}{2}(M_{z}-1)}^{\frac{1}{2}(M_{z}-1)} \sum_{n=0}^{M_{x}-1} \frac{\left( (a_{mn}^{2} - R_{m}^{2}) E(k_{mn}^{2}) + \alpha^{2} K(k_{mn}^{2}) \right)}{\alpha_{mn}^{2} \beta_{mn}}$$
(11c)

where E(k2) and K(k2) are the complete elliptic integrals of the first and second kind, respectively, and:

The radius of each loop is: 
$$a_{mn} = nd_x + \sqrt{a_0^2 - (md_z)^2}$$
 (12)

where,  $md_z < a_0$  and  $\frac{1}{2}(M_z - 1)d_z < a_0$ 

### Initial Particle Velocity calculated from Initial Particle Energy

Total energy of a particle of rest mass  $m_0$  is:

$$E = mc^2 \tag{13a}$$

Total energy is the sum of rest mass energy and kinetic energy:

$$E = E_0 + T$$

$$= m_0 c^2 + T$$
(13b)

Solve for *T* in term of *v*:

$$T = mc^{2} - E_{0}$$

$$= \gamma m_{0}c^{2} - m_{0}c^{2} = (\gamma - 1)m_{0}c^{2}$$
(13c)

where,  $\gamma = (1 - v^2 / c^2)^{-1/2}$ 

Solve for 
$$v$$
 in term of  $T$ , with  $\xi = \frac{m_0 c^2}{qT}$ :

$$v = \frac{c\sqrt{1+2\xi}}{1+\xi} \tag{13d}$$

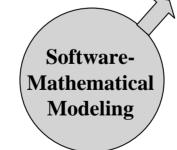
## **Present Radiation Shielding Studies at KSC**

NASA (Spacecraft)



Software-Mathematical Modeling

**ASRC** 



Field Precision, NM

#### NIAC (Lunar)

Analysis of a Lunar Base Electrostatic
Radiation Shield Concept
Phase I: NIAC CP 04-01

Advanced Aeronautical/Space Concept Studies

Charles R. Buhler, Principal Investigator (321) 867-4861

October 1, 2004

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 $\sim$  \$1.9 M / 4 yrs

### Problems already being addressed by NASA

Shield Configuration and Design for spacecraft

**Shield Effectiveness** 

**Material Tensile Strength/Dielectric Strength** 

Other Material Issues-Mechanical (Attachment, etc.)

Other Material Issues-Environmental (Temperature, UV resistance)

Other Material Issues-Misc. (Leakage current, Gamma resistance, Thickness/Weight, Crease resistance, Conductive coating (CNT or CVD Au), Aging)

**Shield Forces** 

**Net Shield Charge** 

Shield Discharge Calculations-Leakage, Corona, Plasma.

Charge Buildup on outside of Spheres.

**Power Supply Feasibility-Voltage** 

**Power Supply Feasibility-Current** 

Field Extent in a Plasma

**Particle Entrapment** 

**Safety-Total Stored Energy** 

Safety-Shield Stability

**Safety-Electron Dosage Issue** 

# Future Work required for a Lunar Solution

- Lunar Shield configuration
- Lunar gravity
- Lunar surface may or may not act as a sufficient electrical ground. [Power systems may have the benefit of free charges that a spacecraft will not have access to.]
- Lunar Shield will have to contend with Lunar dust.

## Proposed Validation Experiment

