SailBeam



# Space Propulsion by Macroscopic Sail-type Projectiles

Presented at the 2001 NIAC Workshop *Atlanta, GA* October 30 - 31, 2001

> Dr. Jordin T.Kare Kare Technical Consulting 222 Canyon Lakes Place San Ramon, CA 94583 925-735-8012 jtkare@attglobal.net



28-Oct-01 1

#### SailBeam

### Laser Sails: A Quick Review





### **The SailBeam Concept**



#### Kare Technical Consulting

28-Oct-01 3

### **SailBeam Scaling**

- For fixed sail velocity and mission energy\*
  - Transmit aperture area  $a_t = A_t / N$

• Transmit aperture diameter  $d_f = D_f / N^{1/2}$ 

- OR, For fixed laser power and aperture
  - Maximum sail velocity

14

- Payload mass is limited only by mission energy
- In either case
  - High Flux at sail
  - High Sail acceleration

 $\phi_{s}(N) = \phi_{s}(1) * N$  $a_{s} = A_{s} * N$ 

\*Mission energy = laser power \* laser run time

## **Metal Sails Are Flux Limited**



## **Dielectric Sails Beat the Flux Limit**



n = index of refraction

Transmitted flux T = I - R - A

### Absorbed flux $A \sim I \alpha t$

α = absorption coefficient (1/absorption length) t = thickness

$$I(\alpha t) = 2 \varepsilon \sigma T^4$$

### Absorption ( $\alpha$ *t*) can be extremely small -- 10<sup>-12</sup>

### **Microsail Performance Can Be Impressive**

Wavelength	1 μm
Index of refraction	1.6
Reflection	0.19
coefficient	
Sail thickness	0.156 μm
Density	2.6
Areal density	406 mg/m <sup>2</sup>

Maximum laser flux	1014 W/m2
Absorption	10-12
Infrared emissivity	0.01 (nominal)
Radiated power	100 W/m <sup>2</sup>
Operating temp.	~684 K
Maximum force	125 kN/m <sup>2</sup>
Acceleration	3.1 x 10 <sup>8</sup> m/s <sup>2</sup>

That's 32 MILLION G's "Zero to lightspeed in 0.97 seconds"



## **Vehicle Velocity Limit**

• Treat sail beam as continuous momentum flow

- dm/dt \* v in laser frame -  $dm/dt * (v - v_{vehicle})$  in vehicle frame

### For vehicle mass = sail mass, v<sub>vehicle</sub> = 0.86 v<sub>sail</sub>



## **Sail Material Options**



- CVD Diamond has the highest performance
- SiO<sub>2</sub> and Si have the largest technology base (IC industry)
- Glass (doped SiO<sub>2</sub>) has the lowest bulk absorption (fiber optics)
- ZrO<sub>2</sub> (a common optical coating) is strong at high temperatures

## **Multilayer Sails Are Usually Better**

If they can be fabricated

- $R = (n^{2N} 1 / n^{2N} + 1)^2$ 
  - N quarter-wave layers spaced by quarter-wave vacuum





## **Reflectivity of Film Materials**



\*HfO<sub>2</sub>, ScO<sub>2</sub> similar Am. diamond = Amorphous diamond film

## **Relative Acceleration, Fixed Flux**

• Reflectivity \* index \*  $\lambda$  / density determines acceleration (at fixed flux)



# **Relative Acceleration, Temperature Limited**

A simple first cut:

- Assumes constant absorption and emittance, flux  $\propto T^4$
- Uses melting/decomposition temperature for T



SailBeam

### **Sample Point Designs**

VEHICLE MASS, KG	1000	SAIL MASS, KG		1000					
VEHICLE VELOCITY, KM/S	3 X 1O 7	SAIL VELOCITY, KM/S		3.6 X 1O 7					
		SAIL ACCEL., KM/S 2		10,000					
<b>ACCELERATION RANGE, KN</b>	1 65,000.0	SAIL ACCEL. TIME, S		3.6					
SAIL MATERIAL	DIAMOND	SI 2µM	GLASS (SIO2)	GLASS (SIO2)					
	2 LAYERS	2 LAYERS	3 LAYERS	1 LAYER					
LASER WAVELENGTH, µN	1 O.5	2	<b>O</b> .5	O.5					
LASER POWER, GW	25	25	25	100					
DENSITY, G/CM 3	4.4	3.4	2.6	2.6					
REFRACTIVE INDEX	7.0	4.7	3.2	3.2					
SAIL REFLECTIVITY	0.97	0.87	0.79	0.19					
LAYER THICKNESS, µM	0.04	0.21	0.08	0.08					
<b>AREAL DENSITY, MG/M 2</b>	314	<b>1459</b>	609	203					
SAIL DIAMETER, M	0.26	<b>O</b> .11	0.16	<b>O.28</b>					
TELESCOPE DIA., M	310	2820	480	280					
SAIL MASS, MG	16	14	13	13					
OF SAILS, MILLIONS	62	69	77	79					
TOTAL ACCEL. TIME, YEA	RS 7.1	7.9	8.8	9.0					

#### **Blue = derived value**

### **Potential Limits on Microsails**

- Absorption
- Mechanical strength / beam uniformity
- Stability and beam tracking
- Sail structure and attachments
  - Nothing <u>but</u> a dielectric film can survive 100 MW/cm<sup>2</sup> for long
- Sail guidance
  - How to hit the vehicle's "sweet spot" over a light-year?
- Momentum transfer
  - How to do it?
  - Impact limits -- even 0.0001 kg packs a large punch at 0.1 c
  - Inelasticity -- how much energy ends up in the vehicle?

## Thin Layer Absorption / Damage

- Damage thresholds not well known
  - Most data are from multilayer reflectors and sub-µs laser pulses
    - Not directly applicable to single-layer microsails, CW laser
  - Bulk of recent data are on SiO<sub>2</sub> and HfO<sub>2</sub>
- Film absorption is typically 10<sup>-6</sup> or higher
  - Several orders of magnitude higher than bulk absorption
  - Heavily dependent on fabrication method
    - Usual methods (sputtering, vapor deposition) deposit porous layers, varying amounts of impurities
    - Low absorption was rarely the main goal of process development
  - Bulk absorption appears to dominate, but surface absorption is significant
    - Measurements are indirect and quite difficult

# **Thin Layer Absorption / Damage (2)**

- SailBeam allowable absorption is design-dependent
  - Flux limit depends on material emissivity and temperature limits
  - Transmitter aperture diameter varies as (max flux)<sup>-1/2</sup>
  - 10<sup>-10</sup> absorption probably acceptable; >10<sup>-8</sup> presents problems
- Conclusion: R&D needed
  - Experimental measurement of limiting flux
    - Long pulses (~millisecond) and single-layer films
  - Process development and/or "new" processes to reduce absorption, e.g.,
    - Pulling of bulk material (flat version of fiber optic fabrication)
    - Doping and etching of thick wafers

(Processes used to make thin structures in other fields, but not usually used for optical films))

## **Spin Stabilization**

- Microsail must be stable in beam
  - Characteristic time scale ~  $[2(sail diameter)/(acceleration)]^{1/2}$ 
    - Typically ~100 µs (e.g., 0.1 m sail, 2 x 10<sup>7</sup> m/s<sup>2</sup> accel.)
    - << Lightspeed feedback time to transmitter; can't do active stabilization
    - Spin rate must be ~10,000 rps
  - Spin provides at least neutral stability
    - Other projects are investigating stability for other beamdriven systems (e.g., Benford et al., microwave sails)
  - Active damping of oscillations is possible
    - On-sail guidance components, or
    - Platforms spaced along sail acceleration path
- Spin keeps sail in tension
  - Prevents collapse or wrinkling due to nonuniform beam / loads

## **Guiding Microsails**

- Sails will need some course correction capability
  - Finite velocity error at launch: 1 nrad error => 1 km miss at 0.1 l.y.
  - Sail will be perturbed in flight, e.g., by dust impacts
  - Main laser is too diffuse to apply corrections even 1 light-day out
- At least two options:
  - "Guidance stations" along path to ~1 light day
    - Can measure course to <<1 nrad and correct with laser pulses</li>
       Measuring sail-to-sail relative errors is sufficient
    - Corrections extend beyond most solar-system perturbations
    - No requirements on sails, but may not be accurate enough
  - MEMS micropropulsion on sails
    - Few m/s  $\Delta V$  is sufficient, and feasible even at very small scales
    - Vehicle can provide a "homing beacon" laser
    - Sail sensors and control system are simple, but not trivial

### Much Work Remains To Be Done

## **Tensile Strength**

- Tensile loads are comparable to force on sail
  - Centrifugal load due to spin stabilization
  - Acceleration loads on "payload" or low-illumination area
- Tensile strengths of freestanding thin films are poorly known
  - Highly variable, depending on film fabrication details

### But some typical values are

- Al<sub>2</sub>O<sub>3</sub>
  Si
  SiO<sub>2</sub>
  CVD diamond
  0.25 GPa (1 GPa ~150,000 psi)
  1 GPa
  2 GPa
  3.5 GPa
- Nominal requirement is  $\sigma_{sail} \sim m_{sail} a_{sail} / (d_{sail} t_{sail})$ 
  - $t_{sail}$  is the sail thickness, nominally  $\lambda/4n$
  - Typical values are 3 10 GPa (for 10<sup>7</sup> m/s<sup>2</sup> acceleration)

Tensile strength looks OK, but only barely -may drive many aspects of sail and system design



### **Microsail Conceptual Design**



# **Coupling Microsails To Macroscopic Vehicles**

- 1. Magnetic Coupling
- Turn microsail into plasma
  - Use a laser on the vehicle (at a wavelength absorbed by sail), or
  - Run it into something
    - Plasma cloud (Landis shield)
    - Gas/dust cloud (residue of previous sail?)
    - Solid film or mesh (mass << sail)
- Transfer momentum to vehicle
  - Bounce plasma off a magnetic field (MagOrion concept)
    - Elastic; low energy absorption

### 2. Or emulate ORION

- Let solid (or perhaps vaporized) sail hit something
  - A solid pusher plate
  - A confined gas or plasma
- Reject impact energy via ablated mass or radiation

### **Ionizing Microsails**

### • Laser

- Use wavelength absorbed by sail, probably UV
- Thin sail is easy for laser to ablate (vs., e.g., spherical particle)
- Requires ~50 100 MJ / kg -- <1 kJ for typical microsail</li>
  - 3 10 kJ needed for safety margin, pointing error, etc.
- Sail expands into spherical plasma at ~10 km/s
  - Must hit sail 0.01 0.1 s before impact at 10's to 100's of km
  - Must track sail with ~0.1 m accuracy at 10<sup>5</sup> m
- **Impact** -- proposed by Singer in original 1980 particle-beam paper
  - Let microsail strike something -- solid, particle cloud, gas, plasma
    - High-velocity impact produces X-ray temperatures
  - Low energy requirement, but possibly complex hardware
  - Vehicle must carry sacrificial mass
    - Specific impulse is no longer infinite
    - Cleverness needed to "hit" sail without tossing away >>m<sub>sail</sub>

#### SailBeam

### **MagSail Concept**



MagSail Magnetic Field (compressed) β=0.01 Tesla Plasma Interface Shock

Nuclear Propellant (Detonated 2 km Behind MagSail)

Nuclear-pulse-driven MagOrion

Solar-wind-driven MagSail

# **Estimating MagSail Requirements**

- Collective plasma reflection (Dynamic pressure)
- Individual ion deflection (Larmor radius)

$$B^2 / 2\mu_0 >> m_{sail} V_{rel}^2 / \pi r_{loop}^3$$

$$m_{ion}V_{rel} / q B \ll r_{loop}$$



- Nominal design point
  - 100 m loop radius
  - 16 MA loop current
  - 1000 kg loop mass
  - 1 x 10<sup>7</sup> Amp-m / kg
     superconductor performance

### **MagSail Drag**

$$F_{drag} = 1.175 \pi (N_i m_i \mu_0^{1/2} I r^2 V^2)^{2/3}$$

N<sub>i</sub> = Number density of ions (nominally 10<sup>5</sup> m<sup>-3</sup>, or 0.1/cm<sup>3</sup>)

m<sub>i</sub> = Average ion mass (1 amu)

$$\mu_0 = 4\pi \times 10^{-7}$$

V = Vehicle velocity

For V=0.1c, r=100m, I=16 MA • F<sub>drag</sub> = 34 N; Thrust ~ 8 N

# **Suppressing Drag During Acceleration**

- Drag is dominated by low-field region far from loop
- 2nd larger loop with opposite dipole cancels field
  - For constant dipole moment, current I varies as 1/r<sup>2</sup>
  - Central field proportional to I/r, varies as 1/r<sup>3</sup>
    - Outer loop doesn't affect propulsive MagSail
  - Mass is proportional to I r, so varies as 1/r.
- Expect drag < 1 N at 0.1c
  - Nominally 100m inner and 1 km outer loop radii
  - Modeling and optimization needed



# How To Stop When You Get There

- Redeploy MagSail conductor into drag brake
  - Very large, low-current loop
  - B field pressure ~ dynamic pressure of interstellar medium
  - Ideally, continue to expand loop as velocity falls
  - Brake to rest against stellar wind once velocity is <500 km/s</li>

Parameter	Value	Comment	-			
Vehicle mass, kg	1000	excluding brake loop	100000			
Initial velocity, km/s	30,000	0.1 c				
Interstellar ion density, #/m <sup>3</sup>	10 <sup>5</sup>	0.1 ion/cm <sup>3</sup>	10000			
Initial dynamic pressure, N/m <sup>2</sup>	7.7 x 10 <sup>-8</sup>		- 10000			
Brake loop radius, km	28		í.			
Brake loop current, kA	55		, k			
Magnetic field pressure, N/m <sup>2</sup>	6.1 x 10 <sup>-7</sup>	Β <sup>2</sup> /2μ	1000			
Superconductor J/rho, A-m/kg	10 <sup>7</sup>		(elo			
Brake loop mass, kg	968		· ·			
Initial drag force, N	1405		100			ļ
				0 1	0 2	20 3

DECELERATION TIME (YEARS

### **Precursor Missions**

### • Microsails can transmit energy as well as momentum

- Thrust can be generated in any direction
  - Allows rendezvous and return missions
- Requires energy conversion to drive thruster
  - Direct, e.g., run sail into a contained plasma
  - Indirect, e.g., compress magnetic field to produce current to drive a plasma thruster
- Efficiency is low compared to alternatives...
  - Efficiency is at best sail velocity/c; can't scale down sail velocity (and therefore laser/optics size) by much
  - Probably not competitive below 0.01 c = 3000 km/s
- ...But a prime alternative is laser propulsion
  - Laser-thermal (pulsed ablation) or laser-electric
  - Suitable for missions up to perhaps 0.02 c
  - Direct technology precursors (lasers, optics) for SailBeam

#### SailBeam

## **Comparing Energy Requirements**

### to accelerate 1000 kg to various velocities



## Near Term Experiments

- "Sail" diameters ~1 mm or less
  - Still a thin film: >>1000:1 width:thickness
  - Variety of materials and fabrication processes available
- Laser pulse power ~1 MW
  - 1 MW of laser power yields ~1 million G acceleration
- Laser pulse lengths ~ 1 msec
  - Final velocities of 10 30 km/s
  - Suffiicient to demonstrate stable acceleration
- Existing facilities meet requirements
  - E.g., LHMEL (Air Force Wright-Patterson)
    - 1 kJ Nd-Glass flashlamp-pumped laser
    - ~1 msec "dump" mode
  - Experiments fit in a 5 50 meter long vacuum pipe

## Near Term (Phase 2) Experiment Goals

- Measure damage/failure flux for films
  - Test likely materials under CW conditions
  - Develop and test alternate film fabrication methods
- Demonstrate "static thrust"
  - MEMS force gauges integrated with film
- Demonstrate enhanced thrust with multilayers
- Measure film absorption and thermal balance
  - Difficult but not unprecedented measurements
  - Use photoacoustic or photoelastic techniques plus IR radiometry
- Demonstrate "free flight" acceleration to >10 km/s

### Force Measurement Concept



### **Conclusions**

- Real interstellar probes are possible
  - 0.1 c or faster; Alpha Centauri in 10 years?
  - Multi-kg (or even multi-ton) payloads
- System requirements are (relatively) modest
  - ~0.2 GW-year of laser output per kg to 0.1 c
  - Sub kilometer scale optics
  - Sub-meter scale thin film sails
- Development can be done soon
  - Development path overlaps with laser propulsion/beamed energy
  - Key aspects are small scale, e.g., thin film absorption

### • Real experiments can start right away